

III-D Document File Reporting

The primary objective of the Document File is to provide detail financial information about those General Ledger Accounts that require document level support. These General Ledger Accounts include accounts such as encumbrances, obligations, accounts payable, accounts receivable and advances. The accounting events are recorded on a document-by-document basis. For example, when a contract is issued, an encumbrance is recorded with a unique document number and a record is established in the Document File. Payment activity against this encumbrance is posted directly to the unique document number so that the document number balance is available at any time. The same document-by-document recording of accounting events applies to all General Ledger Accounts with Document File support.

The Document File has financial fields to keep track of the original amount of the document, adjustments to increase or decrease the original amount, liquidations, and collections/payments. The Retention Amount financial field is not currently used.

The amount shown in liquidations and collections/payments will generally be the same for most General Ledger Accounts. However, for Encumbrances these can be different amounts. When an accounting event is posted that is greater than the available balance on an Encumbrance document, the Collections/payments financial field is posted with the transaction amount while the Liquidations financial field is posted with an amount equal to the calculated Available Balance for the encumbrance document.

FILE INFORMATION

All documents that are closed or have a zero balance (calculated as the sum of Original, Adjustments and Liquidations) are purged from the Document File 90 days after the close date in the document record. This occurs at the end of each calendar month unless the **S** Modifier has been used to save the document. The purged documents are shown on the CSB053-1 Report (no example is provided). When a document is established and liquidated (zero balance) in the same Fiscal Month, it is purged from the Document File when that Fiscal Month is no longer available for posting. The document record appears on the reports for the Fiscal Month of activity only. When a Document is established and reversed in the same month, it is purged from the Document File immediately and does not appear on any of the reports. When the PY report period option is entered, Document File reports do not include documents with zero balances.

The chart on next page identifies the source of data and controlling factors that determine the control key for the Document File. Not all elements listed in the control key are used by each agency. Building the key depends upon the posting

indicators established by the agency in its tables as shown on the chart. Posting indicators are explained in Volume 2, Chapter IV, Table Maintenance Coding Procedures.

Detailed descriptions and illustrations of the Document File reports are displayed on the pages following the Document File key chart.

DOCUMENT FILE KEY

NAME	SOURCE	CONTROLLING FACTORS
Organization	Sign on/Security	Name/Password
Section	IC Table look-up	If AS Table Appropriation Org Level indicator is 1
AS Number	Coded or PA look-up	None
FFY	Transaction	None
Program	PA Table look-up	If AS Table Appropriation Program Level indicator is 1, 2, 3 or 4
Element	PA Table look-up	If AS Table Appropriation Program Level indicator is 2, 3 or 4
Component	PA Table look-up	If AS Table Appropriation Program Level indicator is 3 or 4
Task	PA Table look-up	If AS Table Appropriation Program Level indicator is 4
Reference	AS Table look-up	None
Fund	Coded or PA look-up	None
Fund Source	Coded or PA look-up	None
Method	Coded or PA look-up	None
Fund Detail	Coded or PA look-up	If D23 Appropriation Control Indicator is 1
Character	AS Table look-up	None
Category	Transaction Object Detail look-up	If AS Table Appropriation Object Level indicator is 1, 2 or 3
Object	Transaction Object Detail look-up	If AS Table Appropriation Object Level indicator is 2 or 3
Object Detail	Transaction	If AS Table Appropriation Object Level indicator is 3
Enactment Year	AS Table look-up	None
Source	Transaction	None
General Ledger	TC Table look-up	None
Document Number	Transaction	None
Document Suffix	Transaction	None

There are other fields in an accounting transaction that have an impact on subsequent postings to a Document File record once it is established. The following indicators listed below should not be changed once the table record has been used to establish a Document File record:

- AS Table: Allotment Program Level Indicator;
- PA Table: Allotment Program Level Indicator;
- IC Table: Allotment and General Ledger Organization Level Indicator;
- PC Table: Object Posting Level Indicator; General Ledger Posting Level Indicator; and Project Type; and,
- D23 Descriptor Table (Fund Detail): Allotment and Grant Project Fund Level Indicators.

EXHIBIT III-DB1

REPORT NAME:	SCO Reconciliation Report			REPORT NO:	CSTARDB1
PURPOSE:	Displays summarized appropriation amounts used in the monthly SCO/CALSTARS reconciliations.				
DESCRIPTION:	This report combines information from the Appropriation and Document Files by Enactment Year. It is used with the HB4 Report for reconciling appropriation accounts with the SCO. It is supported by the D16 Report. The D16 Report lists documents that make up the totals that appear on the DB1 Report. See the DB2 Report for automated SCO reconciliations.				
REPORT REQUEST OPTIONS:					
Report Period:					
FM: CM, PM or PY					
P: Not Applicable					
Level of Detail:					
<u>Index (I)</u>		<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	
0-No Organization		Not Applicable	Not Applicable	1-Fund	
1-Section				2-Fund Detail	
Fund Selection: Blank (all Funds) or any valid Fund, except Fund 0998.					
GLA Selection: Not applicable					
Additional Report Selection Options Popup Screen: Not applicable					
Destination Options: All available output media					
FINANCIAL ELEMENTS:					
Beginning Balance: The ending balance from the prior month's DB1. Normal balance is a Debit for Receivables; Credit for Payables.					
Net Monthly Activity: Activity occurring during the month for the General Ledger Account (GLA) within the Appropriation Account. Balance may be either a Debit or a Credit.					
Ending Balance: Calculated as the sum of Beginning Balance and Net Monthly Activity. Normal balance is a Debit for Receivables; Credit for Payables.					
Balance for SCO Reconciliation: Ending Balance with the correct sign required for entry on the SCO/CALSTARS reconciliation forms. Usually the reverse sign of the Ending Balance.					
SPECIAL NOTES:					
Data is displayed in one of these General Ledger Account (GLA) Type classifications:					
Receivables: Document File records with GLAs 0001-1999;					
Payables: Document File records with GLAs 3000-6170;					
Other: Document File records with GLAs 2000-2999 and 6171-9999 and all Appropriation File records.					

EXHIBIT III-DB1

REPORT NAME: **SCO Reconciliation Report**REPORT NO: **CSTARDB1****SPECIAL NOTES: (CONTINUED)**

The report is organized by SCO Type in the same order as the SCO Agency Reconciliation Report: SCO Type R-Revenues first; then D-Disbursements (Expenditures), F-Reimbursements, F-Federal Funds, T-Operating Transfers and finally, D-Disbursements (Advances).

Document File records are included when they have an Appropriation Symbol number, are not in Fund 0998 (ORF), and the GLA is one of the following: 1190, 1311 through 1314, 1320, 1330, 1400, 1500, 1710, 1730, 1740, 1750, 3010, 3020, 3110, 3210, 3220, 3290, 3310, 6150 or 6170. Document File records in GLAs 1400, 1500 and 3020 are not included when they do not have an Appropriation Symbol number.

Document File records with a zero balance are excluded from the report.

Document File records with an AS Table Account Type of **CA** are identified as the "Clearing Account."

Document File records with a Source of **4nnnnn**, **58nnnn** or **9nnnnn** do not have Source displayed on the report.

Scheduled Reimbursements includes Appropriation File records with Account Type **90**, or any other Account Type (except **91** or **92**) that contains data in one of the Reimbursement Financial Fields. Miscellaneous Reimbursements have an Account Type of **92** and Unscheduled Reimbursements have an Account Type of **91**.

If the AS Table Account Type is **PR**, the Reference is followed with the FFY from the AS Table.

If the Reference is **980**, it is set to **000** for display on the report.

Account Type **CA** has Program set to **99**.

The following Appropriation File financial fields are shown as specific GLAs on the report:

<u>GLA</u>	<u>AP File Financial Field</u>
6297	Net Cost Allocation
6297	SCO PFA Posted
1110	Unremitted Abatement, Revenue & Reimbursement
1150	Intransit Abatement, Revenue & Reimbursement
6160	Monthly Allocated Encumbrances
6151	Annual Allocated Encumbrances

EXHIBIT III-DB1

REPORT NAME: **SCO Reconciliation Report**REPORT NO: **CSTARDB1****REPORT SORT:**

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
ENY	None	Yes	No
Reference	None	Yes	No
SCO Type	None	Yes	No
Account Type	None	Yes	No
Program/Category/Source ^{1/}	None	Yes	No
General Ledger Type Number	Not shown on report ^{2/}	No	No
General Ledger Type	Not shown on report ^{2/}	No	No
General Ledger Account	None	No	Yes

^{1/} Program/Category/Source records are sorted in the following sequence:

Clearing Account (Account Type **CA**);
 Category;
 Program; then
 Source Code.

^{2/} The following values are assigned for General Ledger Type Number and General Ledger Type based on the General Ledger Account Number:

<u>GLA SERIES</u>	<u>No.</u>	<u>TYPE</u>
0001 - 2000	1	Receivables
2999 - 6170	2	Payables
All Others	4	Other

EXHIBIT III-DB1

DB1 Report SCO Type File Classification

This table displays the report selection criteria. The DB1 Report uses Source for matching. Document File records with Source **4nnnnn**, **58nnnn** or **9nnnnn** are ignored when matching Appropriation File records because these codes are not posted to the Appropriation File.

SCO Type	DF File Record Selection Criteria	AP File Record Selection Criteria
R - Revenues	SCO Type "R - Revenue" assigned if Fund is <u>NOT</u> 0888 or 0890 and when: 1) GLA is 1320, 1330, 1400, 1500 or 3020; Appropriation Symbol is present; <u>AND</u> Source is 400000-579999 , 590000-699999 . 2) GLA is 1313 or 1314; <u>AND</u> Appropriation Symbol is present.	SCO Type "R - Revenue" assigned if Fund is <u>NOT</u> 0888 or 0890 for: 1) Unremitted Revenue—shown as GLA 1110-Unremitted Cash. 2) In-transit Revenue—shown as GLA 1150-Cash in Transit.
D - Disbursements (Expenditures)	SCO Type "D - Disbursement (Expenditures)" assigned when: 1) GLA is 1311, 3010, 3040, 3110, 3210, 3220, 3290, 3310, 6150 or 6170; <u>AND</u> Appropriation Symbol is present. 2) GLA is 1320, 1330, 1400, 1500 or 3020; Appropriation Symbol is present; <u>AND</u> Source is blank , 000000-299999 , 580000-589999 . 3) GLA is 3020 and Appropriation Symbol is <u>NOT</u> present.	SCO Type "D - Disbursement (Expenditures)" assigned for: 1) Unremitted Abatements—shown as GLA 1110-Unremitted Cash. 2) In-Transit Abatements—shown as GLA 1150-Cash in Transit. 3) SCO PFA Posted Less Net Cost Allocation—shown as GLA 6297-PFA Outstanding. 4) Displays either Monthly Allocated Encumbrances—shown as GLA 6160-Monthly Allocated Encumbrances; <u>OR</u> Annual Allocated Encumbrances—shown as GLA 6151-Annual Allocated Encumbrances.
F - Reimbursements	SCO Type "F - Reimbursements" assigned when: 1) GLA is 1320, 1330, 1400, 1500 or 3020; Appropriation Symbol is present; <u>AND</u> Source is 9nnnnn . 2) GLA is 1312 <u>AND</u> Appropriation Symbol is present.	SCO Type "F - Reimbursements" assigned for: 1) Unremitted Reimbursements—shown as GLA 1110-Unremitted Cash. 2) In-Transit Reimbursements—shown as GLA 1150-Cash in Transit.
F - Federal Funds	SCO Type "F - Federal Funds" assigned if Fund is 0888 or 0890 and when: 1) GLA is 1320, 1330, 1400, 1500 or 3020; Appropriation Symbol is present; <u>AND</u> Source is 400000-579999 , 590000-699999 . 2) GLA is 1313 or 1314; <u>AND</u> Appropriation Symbol is present.	SCO Type "F - Federal Funds" assigned if Fund is 0888 or 0890 for: 1) Unremitted Revenue—shown as GLA 1110-Unremitted Cash. 2) In-Transit Revenue—shown as GLA 1150-Cash in Transit.
T - Operating Transfers	SCO Type "T - Operating Transfers" assigned when: 1) GLA is 1320, 1330, 1400, 1500 or 3020; Appropriation Symbol is present; <u>AND</u> Source is 3nnnnn . 2) GLA is 1400, 1500; <u>AND</u> Appropriation Symbol is <u>NOT</u> present.	No matching Appropriation File records.
D - Disbursements (Advances)	SCO Type "D - Disbursement (Advances)" assigned when: GLA is 1190, 1710, 1730, 1740 or 1750; <u>AND</u> Appropriation Symbol is present.	No matching Appropriation File records.

EXHIBIT III-DB1 (Continued)

CSTARDB1 9990 (DEST: A1 CPT1) PM, ,0,0,0,1, ***** RUN:10/17/00 TIME:20.07
 FISCAL MONTH: 03 SEPTEMBER 0(ORG)***** 1(FUND) FUND(ALL)*****
 DEPARTMENT OF AIR QUALITY
 SCO RECONCILIATION REPORT
 AS OF 09/30/00

***** PAGE 12

FUND: 001 GENERAL FUND
 ENACTMENT YEAR: 00
 REFERENCE: 001
 SCO TYPE: D DISBURSEMENTS (EXPENDITURES)
 ACCOUNT TYPE: CA CALSTARS CLEARING ACCOUNT
 PROG/CAT/SOURCE: 99 --- CLEARING ACCOUNT

			----- S U M M A R Y O F D O C U M E N T S -----			BALANCE FOR SCO
G/L TYPE	G/L ACCT	DESCRIPTION	BEGINNING BALANCE	NET MONTHLY ACTIVITY	ENDING BALANCE	RECONCILIATION
RECEIVABLES	1311	ACCOUNTS/REC - ABATEMENTS	117.34	19.50-	97.84	97.84-
	1400	DUE FROM OTHER FUNDS OR A	0.00	51,777.48	51,777.48	51,777.48-
*TOTAL RECEIVABLES			117.34	51,757.98	51,875.32	0.00
PAYABLES	3020	CLAIMS FILED	153,251.58-	75.64	153,175.94-	153,175.94
	3110	DUE TO OTHER FUNDS OR APP	0.00	552,517.25	552,517.25	552,517.25-
	6150	ENCUMBRANCES	901,723.70	58,267.13	959,990.83	959,990.83
*TOTAL PAYABLES			748,472.12	610,860.02	1,359,332.14	0.00
OTHER	6151	ANNUAL ALLOC ENC	0.00	959,990.83-	959,990.83-	959,990.83-
	6297	PFA OUTSTANDING	604,370.37	75.64-	604,294.73	604,294.73-
*TOTAL OTHER			604,370.37	960,066.47-	355,696.10-	0.00

EXHIBIT III-DB1 (Continued)

CSTARDB1 9990 (DEST: A1 CPT1) PM, ,0,0,0,1, ***** RUN:10/17/00 TIME:20.07
 FISCAL MONTH: 03 SEPTEMBER 0 (ORG)***** 1 (FUND) FUND (ALL)*****
 DEPARTMENT OF AIR QUALITY (9990)
 SCO RECONCILIATION REPORT
 AS OF 09/30/00

***** PAGE 25

FUND: 001 GENERAL FUND
 ENACTMENT YEAR: 00
 REFERENCE: 101
 SCO TYPE: D DISBURSEMENTS (EXPENDITURES)
 ACCOUNT TYPE: 00 SCHEDULED ITEM
 PROG/CAT/SOURCE: 40

			----- S U M M A R Y O F D O C U M E N T S -----			BALANCE FOR SCO
G/L TYPE	G/L ACCT	DESCRIPTION	BEGINNING BALANCE	NET MONTHLY ACTIVITY	ENDING BALANCE	RECONCILIATION
RECEIVABLES	1311	ACCOUNTS/REC - ABATEMENTS	0.00	4,440.91	4,440.91	4,440.91-
	1500	DUE FROM OTHER GOVERNMENT	0.00	2,595.90	2,595.90	2,595.90-
*TOTAL RECEIVABLES			0.00	7,036.81	7,036.81	0.00
PAYABLES	3020	CLAIMS FILED	43,723.60-	0.00	43,723.60-	43,723.60
	3110	DUE TO OTHER FUNDS OR APP	0.00	242,362.18-	242,362.18-	242,362.18
	3220	DUE TO LOCAL GOVERNMENT	0.00	2,366,993.24-	2,366,993.24-	2,366,993.24
	3290	DUE TO OTHER GOVERNMENTAL	0.00	327,736.14-	327,736.14-	327,736.14
	6150	ENCUMBRANCES	4,159,769.11	7,189.52-	4,152,579.59	4,152,579.59
*TOTAL PAYABLES			4,116,045.51	2,944,281.08-	1,171,764.43	0.00
OTHER		RECLASS ACCTS PAYABLE/ENC	0.00	2,937,091.56	2,937,091.56	2,937,091.56-
*TOTAL OTHER			0.00	2,937,091.56	2,937,091.56	0.00

EXHIBIT III-DB2

REPORT NAME:	SCO/CALSTARS Monthly Reconciliation Report	REPORT NO:	CSTARDB2
PURPOSE:	Used to perform the monthly appropriation reconciliation of CALSTARS to the SCO balances.		
DESCRIPTION:	The report combines data from the CALSTARS Appropriation File, CALSTARS Document File and the SCO Agency Reconciliation File (SCO Agency File) for the purpose of performing an automated reconciliation of Appropriation Balances between SCO and CALSTARS. Records from each of these files are combined together by the SCO Account information as shown on the SCO Agency Reconciliation Report. For the CALSTARS Appropriation File and Document File records, the SCO Account information is maintained in the AS Table. The report shows one appropriation item per page and indicates whether or not the CALSTARS Appropriation Balance matches the balance per SCO. The printed report is in a worksheet format that may be used to identify and reconcile out of balance appropriations.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: PM or PY (See special notes.)			
P: B=Balanced records only; U=Unbalanced records only; Blank=All records			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	Not Applicable	Not Applicable
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Used to select a specific enactment year. Blank (all Enactment Years) or any valid 4-digit Enactment Year.			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
HEADER:			
SCO Account: This is the SCO Account Information used to match SCO and CALSTARS data for this report. For the CALSTARS data, the SCO Account Information comes from the AS Table. This information should agree with the appropriation coding on the SCO Agency Reconciliation Report. The fields displayed are as follows: ORG, FUND, SUB-FD, EY, REF, CAT, PGM, ELEM, COMP, TASK, REV-ACCT, AT, CT. This segment is blank for an appropriation item if the AS Table SCO Account Segment is blank.			
CALSTARS Account: Within each SCO Account, the following CALSTARS fields are displayed: ORG, SEC, FUND, FD-DTL, EY, REF, CAT, OBJ, OD, PGM, ELEM, COMP, TASK, SOURCE, AT, FFY, APPN-SYM. The CALSTARS Account fields display the appropriation coding structure maintained within the CALSTARS Appropriation and Document Files. This coding structure may be different from the SCO Account Information due to coding difference between SCO and CALSTARS.			

EXHIBIT III-DB2

REPORT NAME: SCO/CALSTARS Monthly Reconciliation Report	REPORT NO: CSTARDB2
----------------------------------------------------------------	----------------------------

FINANCIAL ELEMENTS (Continued):

HEADER: (Continued)

With some exceptions, if any of the CALSTARS fields are not unique, multiple appropriation coding lines will be displayed in the CALSTARS Account section. The Section field and the Fund Detail field will display "***" when multiple values are encountered. For Partial Reappropriations (where the CALSTARS Account Type is **PR**), the REFERENCE field will display the reference value followed by the last two digits of the FFY (i.e., 00198).

If the SCO Account section above is blank, the CALSTARS Account fields will continue to display the appropriation coding structure as reflected in the CALSTARS files. However, a change in any one field value, with some exceptions, will cause a page break to occur. For the Section and the Fund Detail fields, multiple values will cause "***" to be displayed and page breaking will not occur. The DB2 report may still be used by Agencies as a worksheet in the reconciliation process, however, the SCO Account section must be written manually.

ACCOUNT BALANCE PER STATE CONTROLLER'S OFFICE RECORDS:

Budget/Adjust/Advance: The ending balance from the SCO Agency Reconciliation Report (FC-320-01-P) Budget/Adjustments and Advances columns.

Receipt/Disbursements: The ending balance from the SCO Agency Reconciliation Report Expend/Revenue column.

Balances: The ending balance from the SCO Agency Reconciliation Report Avail/Unrealized column.

APPLY: CURRENT YEAR ACCRUALS:

Accrual information is extracted for the reconciliation process from the CALSTARS Appropriation File and the Document File and displayed in this section summarized by General Ledger Account.

Document File records are included in this section when they have an Appropriation Symbol, are not in Fund 0998 (ORF), and the GLA is one of the following: 1190, 1311 through 1314, 1320, 1330, 1400, 1500, 1710, 3010, 3020, 3110, 3210, 3220, 3290, 3310, 6150 or 6170. Records with a zero balance are excluded from the report.

Appropriation File records are included when balances are found in any one of the AP File Financial Fields listed and they are displayed on DB2 report by the General Ledger Accounts shown:

<u>GLA</u>	<u>AP File Financial Field</u>
6297	Net Cost Allocation
6297	SCO PFA Posted
1110	Unremitted Abatement, Revenue, Reimbursement
1150	Intransit Abatement, Revenue, Reimbursement
6160	Monthly Allocated Encumbrances
6151	Annual Allocated Encumbrances

NOTE: GLA 6297 is shown as the sum of the Net Cost Allocation and SCO PFA Posted financial fields. GLA 6151 is displayed on a PY report. GLA 6160 is displayed on a PM report.

EXHIBIT III-DB2

REPORT NAME: SCO/CALSTARS Monthly Reconciliation Report	REPORT NO: CSTARDB2
----------------------------------------------------------------	----------------------------

FINANCIAL ELEMENTS: (Continued)

APPLY: CURRENT YEAR ACCRUALS: (Continued)

Budget/Adjust/Advance: This column is blank because accruals are not reported as Budgets/Adjustments or Advances.

Receipt/Disbursements: The summarized GLA balance of Document and Appropriation File records with the correct sign required for entry on the SCO/CALSTARS reconciliation forms. Usually the reverse sign of the GLA normal balance.

Balances: The sum of the Budget/Adjust/Advance and Receipt/Disbursements columns.

Total Accruals: The sum by column of the accruals listed.

SCO ADJUSTED BALANCE: The sum of the Account Balance Per State Controller's Office Records and the Total Accruals from the Balances column. This total should balance to the Balance Per CALSTARS if there are no reconciling items.

Adjustment to SCO Accounts: This worksheet area is designed for agencies to list the reconciling items pending with the SCO in which the CALSTARS records are correctly posted. In the Date Corrected area list the SCO document number and date of the correcting entry.

SCO Final Adjusted Balance: If reconciling items were entered above, use this section to summarize the SCO Adjusted Balance and the Adjustment to SCO Accounts by column.

BALANCE PER CALSTARS: The Appropriation balance shown in this section is extracted from the CALSTARS Appropriation File. These totals should balance to the SCO Adjusted Balance if there are no reconciling items.

Budget/Adjust/Advance: The amount shown is a sum of the following Appropriation File Financial Fields: Budget, Revisions, Reserve, Reversion, Allocated to Units, Allocated from HQ, Estimated Reimbursements, and Estimated Revenue.

Receipt/Disbursements: The amount shown is a sum of the following Appropriation File Financial Fields: Net Transfer, Earned Reimbursements, Earned Revenue, Expenditures, Encumbrances, Obligations, and Allocated Encumbrances (annual or monthly).

Balances: The amount is a sum of the Budget/Adjust/Advance and Receipt/Disbursements fields above.

Adjustment to CALSTARS Accounts: This worksheet area is designed for agencies to list the reconciling items and their effect on each of the columns. In the Date Corrected area list the batch and FM the correction is recorded.

CALSTARS Final Adjusted Balance: If reconciling items were entered above, use this section to summarize the Balance per CALSTARS and the Adjustment to CALSTARS Accounts. The Balances column should match the SCO Final Adjusted Balance when each account is fully reconciled.

EXHIBIT III-DB2

REPORT NAME: SCO/CALSTARS Monthly Reconciliation Report	REPORT NO: CSTARDB2
FINANCIAL ELEMENTS (Continued): <p>SIGNATURE AREA: Once the reconciliation is complete and balanced, the person performing the reconciliation and person reviewing the reconciliation should sign and date in the appropriate places.</p> <p>MESSAGES: The report appends the SCO Account Information from the AS Table to each record from the Document and Appropriation File. These records are then matched to the SCO Agency File for reporting. There are four possible results when matching records between SCO and CALSTARS and the resulting condition is indicated by a message printed on the bottom of the report. The messages are as follows:</p> <p style="padding-left: 40px;">AS TABLE NOT FOUND OR NO CALSTARS SCO ACCOUNT DATA SCO ACCOUNT DATA - FOUND NO CALSTARS DATA CALSTARS SCO ACCOUNT DATA - FOUND NO SCO DATA MATCHING SCO AND CALSTARS SCO ACCOUNT DATA FOUND</p> <p>A comparison is also done between the amounts shown in the Balances column of the SCO ADJUSTED BALANCE and the BALANCE PER CALSTARS lines. If they do not equal, the message "OUT OF BALANCE BY \$" is printed in the bottom right corner of the report.</p> <p>See the Special Notes area for a discussion on the other columns.</p>	
SPECIAL NOTES: <p>This report is sorted by the SCO Account Section coding. Records with no SCO Account Section coding (AS TABLE NOT FOUND OR NO CALSTARS SCO ACCOUNT DATA) are located in the front of the report.</p> <p>CALSTARS records with a Source of 4nnnnn, 58nnnn or 9nnnnn do not have Source displayed on the report.</p> <p>For reconciliation purposes, it is not necessary to manually add prior year balances to current year balances. Prior year balances are included in the DB2 amounts.</p> <p>Balances between SCO and CALSTARS in the Budget/Adjust/Advance and Receipt/Disbursements columns may not be equal. This may occur if accounting activity was not posted or was posted incorrectly. Proper corrective action should be taken. However, if a prior year appropriation has an out of balance condition between columns, by offsetting amounts, it is most likely the accruals from the previous year. No corrective action is necessary.</p> <p>On a revenue account, an Out of Balance condition may represent prior year accruals. Make a notation in the Adjustment to SCO Accounts and record the accrual figures in the proper columns. This is similar to the Report 15, Reverse Prior Year Accrual process and will assist in the preparation of this year-end report.</p> <p>To determine the accrual figures for both expenditures and revenues, agencies may use the prior year reconciliations, Report 1 adjusted by encumbrances, Report 15 adjusted by encumbrances and Report 3. In Report 1 and Report 15 the encumbrances are adjusted out of the accruals because they do not reduce the appropriation balance brought forward and will be included as current year expenditures.</p> <p>Report includes 'C' and 'Q' accounts. The accruals displayed for these control accounts are all the accruals of the individual CALSTARS appropriations that roll up to the particular C or Q control account. The amount displayed for the "Balance Per CALSTARS" is the sum of the balances for each of the individual CALSTARS appropriations.</p>	

EXHIBIT III-DB2

REPORT NAME: SCO/CALSTARS Monthly Reconciliation Report	REPORT NO: CSTARDB2
----------------------------------------------------------------	----------------------------

REPORT SORT:

SCO DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Organization	None	Yes	No
Fund	None	Yes	No
Sub-Fund	None	Yes	No
ENY	None	Yes	No
Reference	None	Yes	No
Category	None	Yes	No
Program	None	Yes	No
Element	None	Yes	No
Component	None	Yes	No
Task	None	Yes	No
Revenue Account	None	Yes	No
Account Type	None	Yes	No
Control Type	None	Yes	No

The SCO Account information is derived from the AS Table. Therefore, if multiple Appropriation Symbols look up the same SCO Account information, multiple lines are displayed in the report heading showing the different CALSTARS records. It does not necessarily result in a separate report page.

If the SCO Account Section on the Report is blank, page breaking occurs on a change in any CALSTARS Account field value, with some exceptions. For the Section and the Fund Detail fields, multiple values cause "***" to be displayed and page breaking does not occur.

EXHIBIT III-DB2

[illegible]

DEPARTMENT OF AIR QUALITY
SCO/CALSTARS MONTHLY RECONCILIATION REPORT
AS OF 09/30/00

***** PAGE 7

SCO ACCOUNT:	ORG	FUND	SUB-FD	EY	REF	CAT		PGM	ELEM	COMP	TASK	REV-ACCT	AT	CT
	9990	0001		1999	001									C

[illegible]

		GL ACCT	BUDGET/ADJUST ADVANCE	RECEIPT/ DISBURSEMENTS	BALANCES
ACCOUNT	BALANCE PER STATE CONTROLLER'S OFFICE RECORDS:		\$ 169,362.60-	131,214.45	38,148.15-
APPLY:	CURRENT YEAR ACCRUALS:				

TOTAL ACCRUALS	\$	0.00	0.00	0.00
----------------	----	------	------	------

SCO ADJUSTED BALANCE	\$	169,362.60-	131,214.45	38,148.15-
----------------------	----	-------------	------------	------------

ADJUSTMENT TO SCO ACCOUNTS:	DATE CORRECTED	\$	\$	\$
		\$		
		\$		
		\$		
		\$		
		\$		

SCO FINAL ADJUSTED BALANCE \$ _____

BALANCE PER CALSTARS	\$	1,388.14-	36,760.01-	38,148.15-
----------------------	----	-----------	------------	------------

[illegible]

CALSTARS FINAL ADJUSTED BALANCE \$ _____

SIGNATURE OF PERSON PERFORMING RECONCILIATION	DATE	SIGNATURE OF PERSON REVIEWING RECONCILIATION	DATE
-----------------------------------------------	------	----------------------------------------------	------

 MATCHING SCO AND CALSTARS SCO ACCOUNT DATA FOUND

EXHIBIT III-D01

REPORT NAME:	Document Report of Encumbrances, Obligations and Payables	REPORT NO:	CSTARD01
PURPOSE:	Provides information to assist in monitoring the status of individual liabilities.		
DESCRIPTION:	The D01 Report displays Document File records for selected General Ledger Account Numbers: 3010, 3040, 3110, 3210, 3220, 3290, 3730, 6150 and 6170. See the D05 Report for GLA 3020.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-All Records	Not Applicable	1-Fund
1-Section	1-Project		2-Fund Detail
	2-Work Phase		
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs), 3010, 3040, 3110, 3210, 3220, 3290, 3730, 6150 or 6170			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original Document: The amount of funds encumbered or obligated by the original accounting transaction. Normal balance is a Credit.			
Adjustments: Net of subsequent entries to increase or decrease the original document amount. Normal balance may be a Debit or Credit.			

EXHIBIT III-D01

REPORT NAME: Document Report of Encumbrances, Obligations and Payables	REPORT NO: CSTARD01																																				
FINANCIAL ELEMENTS: (Continued) <p>Liquidations: The amount that has been liquidated. For Encumbrances (6150) when a final payment is recorded, this amount is the sum of the original and adjustments columns. Normal balance is a Debit.</p> <p>Payments: The actual expenditures or payments made against the document. For Encumbrances (6150), this may be more than the amount in the liquidations column. Normal balance is a Debit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments, and Liquidations.</p>																																					
SPECIAL NOTES: <p>If the requested Program level is 1 or 2, <u>only</u> documents with a Project Number are selected. (Project Number not blank.)</p> <p>Obligations are not shown on a PY report.</p> <p>Zero balance documents are excluded on a PY report.</p>																																					
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">DATA FIELD</th> <th style="width: 25%;">SELECTION OPTIONS</th> <th style="width: 25%;">PAGE-BREAK</th> <th style="width: 25%;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Section</td> <td>Level of Detail: I</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Project</td> <td>Level of Detail: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Subsidiary</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> <tr> <td>FFY</td> <td>None</td> <td>No</td> <td>No</td> </tr> <tr> <td>Program/Category</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	Yes	Project	Level of Detail: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	General Ledger	None	Yes	Yes	Subsidiary	None	Yes	Yes	Document Number	None	No	No	FFY	None	No	No	Program/Category	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																		
Section	Level of Detail: I	Yes	Yes																																		
Project	Level of Detail: P	Yes	Yes																																		
Fund	Level of Detail: F	Yes	Yes																																		
General Ledger	None	Yes	Yes																																		
Subsidiary	None	Yes	Yes																																		
Document Number	None	No	No																																		
FFY	None	No	No																																		
Program/Category	None	No	No																																		

EXHIBIT III-D01 (CONTINUED)

CSTARD01 9990 (DEST: M1 Cpt1) PM, ,0,0,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 0(NOPRJ)***** 1(FUND) FUND(ALL) GL(ALL)

DEPARTMENT OF AIR QUALITY
 DOCUMENT REPORT OF OUTSTANDING ENCUMBRANCES, OBLIGATIONS AND PAYABLES
 AS OF 09/30/00

***** PAGE 1

FUND: 0001 GENERAL FUND
 GLAN: 6150 ENCUMBRANCES
 SUBSIDIARY:

DOC_NO	SUF	FFY	PROGRAM /	CAT	CAT	OBJ	DTL	AGY	ENY	ORGNAL	DOCMNT	ADJUSTMENTS	LIQUIDATIONS	PAYMENTS	BALANCE
FD_I	FS	MD	REF	INDX	PCA	PROJECT	DOC_DATE	CR_DTE	LST	PROC	CL_DTE	VENDOR_NO/SFX	VENDOR_NAME		
00ABCD00	60	00				3	11 226 01 00			1,123.00		0.00	0.00	0.00	1,123.00
D 2	001	4000	33000				000713	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		
00ABCD00	70	00				3	11 226 03 00			20,962.76		0.00	20,962.76-	20,962.77-	0.00
D 2	001	1000	31100				000713	000913	000914			0000019068	00 SPECIAL ORDER SYSTEMS		
00ABCD00	80	00				3	11 206 00			54.36		0.00	0.00	0.00	54.36
D 2	001	1000	31100				000713	000913	000913			0000019079	01 SIGNS PLUS		
00ABCD01	10	00				3	11 226 01 00			537.00		0.00	0.00	0.00	537.00
D 2	001	1000	31100				000713	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		
00ABCD01	70	00				3	11 206 00			125.00		0.00	0.00	0.00	125.00
D 2	001	6200	51000				000724	000913	000913			0000019080	00 STATE TREASURER'S OFFICE		
00ABCD01	80	00				3	11 206 00			38.77		0.00	0.00	0.00	38.77
D 2	001	1100	31600				000725	000913	000913			0000007002	00 G.B. PRODUCTS INTERNATIONAL		
00ABCD01	90	00				3	11 206 00			623.83		0.00	0.00	0.00	623.83
D 2	001	1100	31600				000823	000913	000913			0000019121	00 SIERRA OFFICE SYSTEM & PRODUCT		
00ABCD02	20	00				3	11 223 01 00			37.42		0.00	0.00	0.00	37.42
D 2	001	1000	31100				000725	000913	000913			0000006005	00 F.A DAVIS COMPANY PUBLISHER		
00ABCD02	40	00				3	11 226 01 00			537.00		0.00	0.00	0.00	537.00
D 2	001	1000	31100				000725	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		
00ABCD02	50	00				3	11 206 00			324.25		0.00	324.25-	324.25-	0.00
D 2	001	1000	31900				000725	000913	000925			0000007002	00 G.B. PRODUCTS INTERNATIONAL		
00ABCD02	6A	00				3	11 226 02 00			97.92		0.00	0.00	0.00	97.92
D 2	001	6200	51000				000725	000913	000913			0000015006	01 OFFICE DEPOT		
00ABCD02	60	00				3	11 206 00			68.52		0.00	0.00	0.00	68.52
D 2	001	6200	51000				000725	000913	000913			0000015006	01 OFFICE DEPOT		
00ABCD02	90	00				3	11 206 00			118.07		0.00	0.00	0.00	118.07
D 2	001	1000	31100				000725	000913	000913			0000015006	01 OFFICE DEPOT		
00ABCD03	10	00				3	11 226 01 00			286.00		0.00	0.00	0.00	286.00
D 2	001	1000	31100				000725	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		
00ABCD04	0A	00				3	11 226 02 00			156.64		0.00	66.71-	66.71-	89.93
D 2	001	6200	51000				000726	000913	000925			0000015006	01 OFFICE DEPOT		
00ABCD04	00	00				3	11 206 00			401.13		0.00	401.13-	401.13-	0.00
D 2	001	6200	51000				000726	000913	000925			0000015006	01 OFFICE DEPOT		
00ABCD04	20	00				3	11 226 01 00			17,530.00		0.00	0.00	0.00	17,530.00
D 2	001	2000	32100				000731	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		
00ABCD04	50	00				3	11 226 06 00			5,547.28		0.00	0.00	0.00	5,547.28
D 2	001	2000	32100				000802	000913	000913			0000016015	01 PACIFIC BELL MOBILE SERVICES		
00ABCD04	60	00				3	11 226 01 00			6,940.00		0.00	0.00	0.00	6,940.00
D 2	001	2000	32100				000731	000913	000913			0000016026	00 PRISON INDUSTRY AUTHORITY		

EXHIBIT III-D01 (CONTINUED)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D02

REPORT NAME:	Aged Revolving Fund Advances	REPORT NO:	CSTARD02
PURPOSE:	Provides information to help monitor the status of outstanding Office Revolving Fund advances to employees and vendors.		
DESCRIPTION:	The report displays Fund 0998 Document File records with balances in one of five aging columns. This report can help agencies collect or clear outstanding Office Revolving Fund Advances as required by SAM. The report can also help review employee advances for compliance with IRS Tax laws.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-Sort by Vendor	Not Applicable	1-Fund
1-Section	Number		2-Fund Detail
	1-Sort by Document		
	Number		
Fund Selection: Not Applicable			
GLA Selection: Blank (all GLAs), 1190, 1710, 1712 or 1714			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
The following General Ledger Accounts are displayed: <ul style="list-style-type: none"> • 1190—Cash On Hand (ORF disbursements to establish petty cash); • 1710—Expense Advances; • 1712—Travel Advances; and • 1714—Salary Advances. 			
Depending upon the length of time the document has been outstanding, the document balance is displayed in one of five aging columns: <ul style="list-style-type: none"> • 0-30 days; • 31-60 days; • 61-90 days; • 91-120 days; or • over 120 days. 			
Document Balance: Calculated as the sum of Original, Adjustments and Liquidations. Normal balance is a Debit.			

EXHIBIT III-D02

REPORT NAME: Aged Revolving Fund Advances	REPORT NO: CSTARD02
--------------------------------------------------	----------------------------

SPECIAL NOTES:

The aging is based upon a calculation of the number of days between the original accounting transaction Document Date (or Create Date if Document Date is not present) and the report "As Of Date".

If the requested Index level is **1**, the report sorts records by Section from the IC Table when Index is present. Agencies do not have to be doing Section General Ledger accounting in order to have documents sorted by Section on this report.

If the requested Fund level is **2**, the report sorts records by the Fund Detail code in the information segment of the record. Agencies do not have to be doing Appropriation Fund Detail accounting in order to have documents sorted by Fund Detail on this report.

REPORT SORT:

When the requested Program level is **0**:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
FFY	None	Yes	No
General Ledger	None	Yes	Yes
Employee Number	Level of Detail: P	No	No
Document Number	Level of Detail: P	No	No

When the requested Program level is **1**:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
FFY	None	Yes	No
General Ledger	None	Yes	Yes
Document Number	Level of Detail: P	No	No
Employee Number	Level of Detail: P	No	No

EXHIBIT III-D02 (Continued)

CSTARD02 9990 (DEST: L1 CPT1) PM, ,0,1,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 1(DOC) ***** 1(FUND) ***** GL(ALL)

DEPARTMENT OF AIR QUALITY
 AGED REVOLVING FUND ADVANCES
 AS OF 09/30/00

***** PAGE 1

FUND: 0998 OFFICE REVOLVING FUND
 FFY: 00
 GL ACCT NO: 1710 EXPENSE ADVANCES

DOCUMENT NUMBER	EMPLOYEE NUMBER	EMPLOYEE NAME	CREATE DATE	DOCUMENT DATE	AGED BALANCE				
					0-30	31-60	61-90	91-120	OVER 120
14227101-40	0000006030	FOOTHILL TRANSIT	08/29/00	08/10/00	0.00	170.00	0.00	0.00	0.00
14227105-30	0000015023	OFFICE OF ADMINISTRA	08/29/00	08/16/00	0.00	1,100.00	0.00	0.00	0.00
14227108-40	0000003052	DENNIS MCCOY PHOTOGR	08/30/00	08/25/00	0.00	109.91	0.00	0.00	0.00
14227109-60	0000014067	NATIONAL LAW FOUNDAT	09/08/00	09/04/00	99.00	0.00	0.00	0.00	0.00
14227110-00	0000006030	FOOTHILL TRANSIT	09/06/00	09/06/00	170.00	0.00	0.00	0.00	0.00
14227112-40	0000001006	CSUS CAREER CENTER	09/12/00	09/07/00	470.00	0.00	0.00	0.00	0.00
14227112-50	0000003149	LACMTA	09/12/00	09/11/00	946.00	0.00	0.00	0.00	0.00
14227112-60	0000013060	METROLINK	10/03/00	09/11/00	666.25	0.00	0.00	0.00	0.00
14227114-50	0000013060	METROLINK	09/12/00	09/11/00	666.25	0.00	0.00	0.00	0.00
14227114-60	0000003001	CALFOX, INC.	10/04/00	09/13/00	4,669.92	0.00	0.00	0.00	0.00
14227114-70	0000003001	CALFOX, INC.	10/04/00	09/13/00	4,669.92	0.00	0.00	0.00	0.00
14227114-90	0000018018	SACRAMENTO REGIONAL	09/13/00	09/13/00	45.00	0.00	0.00	0.00	0.00
14227115-20	0000001009	4IMPRINT INC	09/25/00	09/15/00	518.95	0.00	0.00	0.00	0.00
14227115-30	0000001010	PROMOTIONAL MEDIA IN	09/25/00	09/15/00	985.00	0.00	0.00	0.00	0.00
14227115-40	0000005003	EL DORADO TRANSIT AU	09/25/00	09/18/00	225.00	0.00	0.00	0.00	0.00
14227115-50	0000006033	CITY OF FOLSOM	09/25/00	09/18/00	170.00	0.00	0.00	0.00	0.00
14227115-80	0000019081	SAN JOAQUIN REGIONAL	09/25/00	09/18/00	105.00	0.00	0.00	0.00	0.00
14227115-90	0000018030	CITY OF ROSEVILLE	09/25/00	09/18/00	193.20	0.00	0.00	0.00	0.00
14227117-00	0000012019	LOS ANGELES CO. BAR	10/03/00	09/18/00	75.00	0.00	0.00	0.00	0.00
14227117-10	0000001016	NAIC EDUCATION & TRA	09/25/00	09/19/00	1,100.00	0.00	0.00	0.00	0.00
14227117-20	0000004050	DEPT. OF PERSONNEL A	09/25/00	09/19/00	145.00	0.00	0.00	0.00	0.00
14227117-40	0000012021	LAWPROSE, INC	09/25/00	09/19/00	295.00	0.00	0.00	0.00	0.00
14227119-80	0000018030	CITY OF ROSEVILLE	10/03/00	09/28/00	206.40	0.00	0.00	0.00	0.00
14227121-00	0000014031	NAMCR	10/03/00	09/29/00	350.00	0.00	0.00	0.00	0.00
14227121-10	0000024002	YUBA SUTTER TRANSIT	10/03/00	09/29/00	85.00	0.00	0.00	0.00	0.00
14227130-80	0000024002	YUBA SUTTER TRANSIT	08/29/00	08/10/00	0.00	170.00	0.00	0.00	0.00
*TOTAL GL-ACCT 1710					16,855.89	1,549.91	0.00	0.00	0.00

EXHIBIT III-D02 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D03

REPORT NAME: Accounts Receivable Aging Report	REPORT NO: CSTARD03																
PURPOSE: Provides information to help monitor Accounts Receivable.																	
DESCRIPTION: The report selects Document File records for General Ledger Accounts 1311 through 1599. Documents with a balance are displayed on the report in one of five aging columns. This is intended to help agencies collect outstanding receivables.																	
REPORT REQUEST OPTIONS: <div style="margin-left: 20px;"> Report Period: FM: CM, PM or PY P: Not Applicable </div> <div style="margin-left: 20px;"> Level of Detail: <table style="width: 100%; border: none;"> <thead> <tr> <th style="text-align: left; width: 25%;"><u>Index (I)</u></th> <th style="text-align: left; width: 25%;"><u>Program (P)</u></th> <th style="text-align: left; width: 25%;"><u>Object/Source(O/S)</u></th> <th style="text-align: left; width: 25%;"><u>Fund (F)</u></th> </tr> </thead> <tbody> <tr> <td>0-No Organization</td> <td>0-All Records</td> <td>Not Applicable</td> <td>1-Fund</td> </tr> <tr> <td>1-Section</td> <td>1-Project</td> <td></td> <td>2-Fund Detail</td> </tr> <tr> <td></td> <td>2-Work Phase</td> <td></td> <td></td> </tr> </tbody> </table> </div> <div style="margin-left: 20px;"> Fund Selection: Blank (all Funds) or any valid Fund (except 0998) GLA Selection: Blank (all GLAs) or any valid GLA from 1311 through 1599 Additional Report Selection Options Popup Screen: <div style="margin-left: 20px;"> Index Range: Not Applicable. PCA Range: Not Applicable. OBJ Range: Not Applicable. FFY Selection: Enter the FFY or leave blank for all FFYs. PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases. </div> Destination Options: All available output media </div>		<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	0-No Organization	0-All Records	Not Applicable	1-Fund	1-Section	1-Project		2-Fund Detail		2-Work Phase		
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>														
0-No Organization	0-All Records	Not Applicable	1-Fund														
1-Section	1-Project		2-Fund Detail														
	2-Work Phase																
FINANCIAL ELEMENTS: <p>The outstanding balance for each receivable document is displayed in one of the following aging columns:</p> <ul style="list-style-type: none"> • 0-30 days; • 31-60 days; • 61-90 days; • 91-120 days; or • over 120 days (items over 365 days marked with '&' to the right of the column). <p>Document Balance: Calculated as the sum of Original, Adjustments and Liquidations. Normal balance is a Debit.</p>																	

EXHIBIT III-D03

REPORT NAME: Accounts Receivable Aging Report	REPORT NO: CSTARD03
------------------------------------------------------	----------------------------

SPECIAL NOTES:

The aging is based upon a calculation of the number of days between the original accounting transaction Document Date (or Create Date if Document Date is not present) and the report "As Of Date". Document Date and Create Date are not displayed on the report.

Dollar amounts up to \$999,999,999.99 can be displayed in each column and in all 'Total' lines.

The General Ledger Account totals should reconcile to the G01 Report and agree with other document reports such as the Receivable Status Report (D04).

Vendor Number is displayed without Vendor Suffix.

If the requested Program level is **1** or **2**, only documents with a Project Number are selected. (Project Number not blank.)

Amounts in the "Over 120" column that are over one year old (365 days) are marked with an ampersand (&).

After the "Total Subsidiary" line, two additional Total lines display the dollar value in the "Over 120" column:

Total 120-365 Days; and
&Total Over 365 Days

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	Yes
Project	Level of Detail: P	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
General Ledger	None	Yes	Yes
Subsidiary	None	Yes	Yes
Vendor Number	None	No	No
Document Number	None	No	No
FFY	None	No	No

EXHIBIT III-D03 (Continued)

CSTARD03 9990 (DEST: L2 CPT1) PM, ,0,0,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 0(NOPRJ)***** 1(FUND) FUND(ALL) GL(ALL)
 DEPARTMENT OF AIR QUALITY
 ACCOUNTS RECEIVABLE AGING
 AS OF 09/30/00

PAGE 11

FUND: 0001 GENERAL FUND
 GLAN: 1313 ACCOUNTS RECEIVABLE REVENUE
 SUBSIDIARY:

VENDOR NAME	VENDOR NUMBER	DOCUMENT NUMBER	FY	RECEIPT CODE	0-30	31-60	61-90	91-120	OVER 120
ACCESS DENTAL P		00ABD201-00	00	125700-97	14,634.74	0.00	0.00	0.00	0.00
AETNA US HEALTH		00ABD202-00	00	125700-97	6,032.68	0.00	0.00	0.00	0.00
AMERICAN HEALTH		00ABD203-00	00	125700-97	2,857.51	0.00	0.00	0.00	0.00
AMERITAS MANAGE		00ABD204-00	00	125700-97	7,906.21	0.00	0.00	0.00	0.00
BAYCARE HEALTH		00ABD205-00	00	125700-97	189.75	0.00	0.00	0.00	0.00
COMMUNITY DENTA		00ABD211-00	00	125700-97	13,876.58	0.00	0.00	0.00	0.00
CONSUMER HEALTH		00ABD212-00	00	125700-97	6,149.68	0.00	0.00	0.00	0.00
DEDICATED DENTA		00ABD213-00	00	125700-97	7,592.55	0.00	0.00	0.00	0.00
DELTA DENTAL PL		00ABD214-00	00	125700-97	802,731.40	0.00	0.00	0.00	0.00
DENTAL BENEFIT		00ABD215-00	00	125700-97	23,303.42	0.00	0.00	0.00	0.00
DENTAL HEALTH S		00ABD216-00	00	125700-97	13,590.52	0.00	0.00	0.00	0.00
GOLDEN WEST HEA		00ABD218-00	00	125700-97	0.00	0.00	1,310.00	0.00	0.00
GREATER CALIFOR		00ABD219-00	00	125700-97	0.00	0.00	21,211.00	0.00	0.00
HEALTHDENT OF C		00ABD220-00	00	125700-97	2,397.72	0.00	0.00	0.00	0.00
PACIFIC UNION D		00ABD222-00	00	125700-97	21,495.91	0.00	0.00	0.00	0.00
PREFERRED HEALT		00ABD223-00	00	125700-97	4,770.03	0.00	0.00	0.00	0.00
PRIMECARE DENTA		00ABD224-00	00	125700-97	1,250.45	0.00	0.00	0.00	0.00
PRIVATE MEDICAL		00ABD225-00	00	125700-97	105,734.69	0.00	0.00	0.00	0.00
SAFEGUARD HEALT		00ABD226-00	00	125700-97	48,400.29	0.00	0.00	0.00	0.00
UDC DENTAL CALI		00ABD227-00	00	125700-97	5,622.62	0.00	0.00	0.00	0.00
UNITED CONCORDI		00ABD228-00	00	125700-97	0.00	0.00	0.00	222.00	0.00
WESTERN DENTAL		00ABD229-00	00	125700-97	39,120.92	0.00	0.00	0.00	0.00
AETNA US HEALTH		00ABD230-00	00	125700-97	127,670.55	0.00	0.00	0.00	0.00
ALAMEDA ALLIANC		00ABD231-00	00	125700-97	17,724.55	0.00	0.00	0.00	0.00
BLUE CROSS OF C		00ABD232-00	00	125700-97	0.00	0.00	0.00	0.00	99,123.00 &
CALIFORNIA PHYS		00ABD233-00	00	125700-97	0.00	0.00	0.00	0.00	75,888.00
CARE 1ST HEALTH		00ABD234-00	00	125700-97	15,460.48	0.00	0.00	0.00	0.00
CEDARS-SINAI PR		00ABD235-00	00	125700-97	503.84	0.00	0.00	0.00	0.00
CHINESE COMMUNI		00ABD236-00	00	125700-97	1,778.79	0.00	0.00	0.00	0.00
CIGNA HEALTHCAR		00ABD237-00	00	125700-97	130,458.88	0.00	0.00	0.00	0.00
COHEN MEDICAL C		00ABD238-00	00	125700-97	9,062.76	0.00	0.00	0.00	0.00
COMMUNITY HEALT		00ABD239-00	00	125700-97	16,438.78	0.00	0.00	0.00	0.00
CONTRA COSTA CO		00ABD241-00	00	125700-97	11,549.79	0.00	0.00	0.00	0.00
*TOTAL SUBSIDIARY					7,571,466.26	213,201.00	923,200.95	64,442.22	1,123,213.95
TOTAL 120-365 DAYS									1,024,090.95
& TOTAL OVER 365 DAYS									99,123.00
*TOTAL GENERAL LEDGER 1313					7,571,466.26	213,201.00	923,200.95	64,442.22	1,123,213.95
*TOTAL FUND 0933					7,571,466.26	213,201.00	923,200.95	64,442.22	1,123,213.95

EXHIBIT III-D03 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D04

REPORT NAME:	Receivable Status Report	REPORT NO:	CSTARD04
PURPOSE:	Provides information to monitor Accounts Receivable.		
DESCRIPTION:	The report selects Document File records for General Ledger Accounts 1311 through 1315, 1319, 1330, 1340, 1380, 1400 and 1500.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-All Records	Not Applicable	0-No Fund
1-Section	1-Project		1-Fund
	2-Work Phase		2-Fund Detail
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs), 1311-1315, 1319, 1330, 1340, 1380, 1400 or 1500			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original: The amount of the receivable document established by the original accounting transaction. Normal balance is a Debit.			
Adjustments: Net of subsequent adjustment entries to either increase or decrease the original document amount. Normal balance may be either a Debit or Credit.			
Liquidations: Collections or liquidations made against the document. Normal balance is a Debit.			
Interest: Always zero. This field is not used.			
Balance: Calculated as Original less Adjustments less Liquidations plus Interest. Normal balance is a Debit.			

EXHIBIT III-D04 (Continued)

REPORT NAME: Receivable Status Report	REPORT NO: CSTARD04																																
<p>SPECIAL NOTES:</p> <p>The Total for each General Ledger Account should agree with the G01 Report and with other Document File reports such as the D03 Report.</p> <p>If the requested Program level is 1 or 2, <u>only</u> documents with a Project Number are selected. (Project Number not blank.)</p> <p>PY reports exclude documents with a zero balance.</p>																																	
<p>REPORT SORT:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 20px;"> <thead> <tr> <th style="text-align: center;">DATA FIELD</th> <th style="text-align: center;">SELECTION OPTIONS</th> <th style="text-align: center;">PAGE-BREAK</th> <th style="text-align: center;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Section</td> <td>Level of Detail: I</td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">Yes</td> </tr> <tr> <td>Project</td> <td>Level of Detail: P</td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">Yes</td> </tr> <tr> <td>General Ledger</td> <td>None</td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">Yes</td> </tr> <tr> <td>Subsidiary</td> <td>None</td> <td style="text-align: center;">Yes</td> <td style="text-align: center;">Yes</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No</td> </tr> <tr> <td>Vendor Number</td> <td>None</td> <td style="text-align: center;">No</td> <td style="text-align: center;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	Yes	Project	Level of Detail: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	General Ledger	None	Yes	Yes	Subsidiary	None	Yes	Yes	Document Number	None	No	No	Vendor Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																														
Section	Level of Detail: I	Yes	Yes																														
Project	Level of Detail: P	Yes	Yes																														
Fund	Level of Detail: F	Yes	Yes																														
General Ledger	None	Yes	Yes																														
Subsidiary	None	Yes	Yes																														
Document Number	None	No	No																														
Vendor Number	None	No	No																														

EXHIBIT III-D04 (Continued)

CSTARD04 9990 (DEST: L1 CPT2) PM, ,0,0,0,0, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 0(NOPRJ)***** 0(NOFUND) FUND(ALL) GL(ALL)
 DEPARTMENT OF AIR QUALITY
 RECEIVABLE STATUS REPORT
 AS OF 09/30/00

***** PAGE 8

GLAN: 1400 DUE FROM OTHER FUNDS OR APPROPRIATIONS

SUBSIDIARY: 02440000 DUE TO CLEAN AIR FUND

DOC_NO	SUF	VENDOR NAME				ORIGINAL		ADJUSTMENTS		LIQUIDATIONS		INTEREST		BALANCE			
FFY	FUND	FD	FD_I	FS	MD	REF	PROGRAM / CAT	OBJECT	INDX	SOURCE	PCA	PROJECT	DOC_DATE	LST_PROC	DUE_DATE	CLOS_DTE	VNDR NO/SFX
00CS0050	00						SAN FRANCISCO MUNICIPAL			445.00		0.00	445.00		0.00		0.00
00	0998	00			0	0	000						08/14/00	10/12/00			0000016043-00
00CS0060	00						METROLINK			454.76		0.00	454.76		0.00		0.00
00	0998	00			0	0	000							10/12/00			0000013060-00
00CS0070	00						YUBA SUTTER TRANSIT			120.00		0.00	120.00		0.00		0.00
00	0998	00			0	0	000						08/10/00	10/12/00			0000024002-00
00CS0110	00						SAN FRANCISCO MUNICIPAL			350.00		0.00	0.00		0.00		350.00
00	0998	00			0	0	000						09/11/00	09/13/00			0000016043-00
00CS0130	00						DEPT. OF PERSONNEL ADMIN			7,012.00		0.00	0.00		0.00		7,012.00
00	0998	00			0	0	000						08/14/00	09/21/00			0000004050-00
00CS0140	00						METROLINK			483.38		0.00	0.00		0.00		483.38
00	0998	00			0	0	000						07/07/00	09/18/00			0000013060-00
00CS0150	00						LACMTA			0.00		0.00	0.00		0.00		0.00
00	0998	00			0	0	000						08/23/00	10/18/00			0000003149-00
00CS0170	00						YUBA SUTTER TRANSIT			2,545.70		0.00	0.00		0.00		2,545.70
00	0998	00			0	0	000						09/04/00	09/25/00			0000024002-00
00CS0260	00						PROMOTIONAL MEDIA INC			0.00		0.00	0.00		0.00		0.00
00	0998	00			0	0	000						09/15/00	10/19/00			0000001010-00
00CS0300	00						YUBA SUTTER TRANSIT			0.00		0.00	0.00		0.00		0.00
00	0998	00			0	0	000						09/28/00	10/27/00			0000024002-00
*TOTAL SUBSIDIARY 02440000										11,410.84		0.00	1,019.76		0.00		10,391.08
*TOTAL GL ACCT 1400										11,410.84		0.00	1,019.76		0.00		10,391.08
TOTAL										7,611,708.17		0.00	29,850.83		0.00		7,581,857.34

EXHIBIT III-D04 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D05

REPORT NAME:	Document Report of Claims Filed	REPORT NO:	CSTARD05
PURPOSE:	Provides information on outstanding Claims Filed with the State Controller's Office. The report can help determine if the SCO CD102s have been received and posted.		
DESCRIPTION:	The report contains Document File records in General Ledger Account 3020. These documents are created as a result of TC 360 and 361 for automated and manual claim schedules. Refer to the H04, Claims In Process and Filed Activity Report, for General Ledger Account 3021 information. Documents are liquidated with TC 252 and 362.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	Not Applicable	Not Applicable	0-No Fund
1-Section			1-Fund
			2-Fund Detail
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Not Applicable			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Claims Filed: Total for the Claim Schedule (Original Document Amount). Normal balance is a Debit.			
Adjustments: Total of adjustments (Adjustment Amount) made to the Claim Schedule. Balance may be either a Debit or Credit.			
Warrants Written: The amount of Claims Paid (Liquidation Amount) by the SCO as entered in CALSTARS from CD102s, Notices of Claims Paid. Normal balance is a Credit.			
Balance: Calculated as Claims Filed plus Adjustments minus Warrants Written. Normal balance is a Debit.			
SPECIAL NOTES:			
Zero balance documents are excluded on a PY request.			
PM reports exclude documents with a zero balance when the "Document Create Date" (Month and Year) is greater than the report "As Of Date".			

EXHIBIT III-D05 (Continued)

REPORT NAME: Document Report of Claims Filed	REPORT NO: CSTARD05																																								
REPORT SORT:																																									
<table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">ENY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">FFY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Document/ Claim Schedule</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Appropriation Symbol</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Fund Source</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Method</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Reference</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	Yes	ENY	None	Yes	Yes	Fund	Level of Detail: F	No	Yes	FFY	None	No	Yes	Document/ Claim Schedule	None	No	No	Appropriation Symbol	Not displayed	No	No	Fund Source	Not displayed	No	No	Method	Not displayed	No	No	Reference	Not displayed	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																						
Section	Level of Detail: I	Yes	Yes																																						
ENY	None	Yes	Yes																																						
Fund	Level of Detail: F	No	Yes																																						
FFY	None	No	Yes																																						
Document/ Claim Schedule	None	No	No																																						
Appropriation Symbol	Not displayed	No	No																																						
Fund Source	Not displayed	No	No																																						
Method	Not displayed	No	No																																						
Reference	Not displayed	No	No																																						

EXHIBIT III-D05 (Continued)

1CSTARD05 9990 (DEST: L1 CPT1) PM, ,0,0,0,0,
FISCAL MONTH: 03 SEPTEMBER 0(ORG)***** 0(NOFUND) FUND(ALL)*****

***** RUN:10/27/00 TIME:19.15

DEPARTMENT OF AIR QUALITY
DOCUMENT REPORT OF CLAIMS FILED
AS OF 09/30/00

***** PAGE 2
ENACT YEAR: 00

CLAIM SCHED			OBJ		APN F		DOCUMENT	LST PROC				WARRANTS	
NUMBER	FFY	INDX	DTL	PROJECT	SYM S	FUND M	DATE	DATE		CLAIMS FILED	ADJUSTMENTS	WRITTEN	BALANCE
				SOURCE									

00CS0260-00	00				900 D	0001 2	09-30-00	10-23-00		1,500.00	0.00	1,000.00	500.00
00CS0270-00	00				900 D	0001 2	09-30-00	10-13-00		3,265.00	0.00	3,265.00	0.00
00CS0280-00	00				900 D	0001 2	09-30-00	10-17-00		5,000.00	0.00	5,000.00	0.00
00CS0290-00	00				900 D	0001 2	10-06-00	10-07-00		0.00	0.00	0.00	0.00
00CS0320-00	00				900 D	0001 2	10-16-00	10-17-00		0.00	0.00	0.00	0.00
00CS0330-00	00				900 D	0001 2	10-26-00	10-27-00		0.00	0.00	0.00	0.00
00CS0340-00	00				900 D	0001 2	10-25-00	10-26-00		0.00	0.00	0.00	0.00
00CS0730-00	00				900 D	0001 2	10-18-00	10-19-00		409.38	0.00	0.00	409.38
*TOTAL EYR	00									95,366.91	0.00	24,392.89	70,974.02
TOTAL										95,366.91	0.00	24,392.89	70,974.02

EXHIBIT III-D05 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D06

REPORT NAME:	Document Report by Appropriation	REPORT NO:	CSTARD06
PURPOSE:	Provides information for monitoring the status of <u>ALL</u> documents by their appropriation classification, including documents with no appropriation classification such as ORF advances and Account Receivables-Other.		
DESCRIPTION:	This report includes all Document File records, including those that are not normally supported in the Document File. See D16 Report also.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	Not Applicable	1-Fund 2-Fund Detail
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs) or any valid GLA			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original Document: The amount of the document established by the original accounting transaction. Normal balance is a Debit for Receivables and a Credit for Payables.			
Adjustments: Net of subsequent adjustment entries to increase or decrease the original document amount. Normal balance may be either a Debit or a Credit.			

EXHIBIT III-D06 (Continued)

REPORT NAME: Document Report by Appropriation	REPORT NO: CSTARD06																																
<p>FINANCIAL ELEMENTS (Continued):</p> <p>Liquidations: The amount of liquidations (collections/payments) made against the document. After final liquidation of encumbrances, this amount equals the sum of the Original Document and Adjustment columns. Normal balance is a Credit for Receivables and a Debit for Payables.</p> <p>Payments: The actual payments recorded against the document. For encumbrances, this may exceed the amount shown in the Liquidations column. For most other documents this amount is the same as shown in the Liquidations column. Normal balance is a Credit for Receivables and a Debit for Payables.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables and a Credit for Payables.</p>																																	
<p>SPECIAL NOTES:</p> <p>PY reports excludes zero balance documents.</p> <p>If a document is set up and brought to a zero balance in the same fiscal month, it is on the specific fiscal month report, but not on subsequent reports (it is purged at the end of the fiscal month).</p> <p>"Reference" is shown in the header as an AS Table look-up field. It is not used for sorting records on the report.</p> <p>PM reports exclude documents with a zero balance when the "Document Create Date" (Month and Year) is greater than the report "As Of Date".</p> <p>Several Transaction Codes that post to the Document File require input of General Ledger Accounts (GLAN) for the Document File record. When a GLAN is entered that is not normally supported in the Document File, it appears on this report.</p> <p>Obligations are not shown on a PY report.</p>																																	
<p>REPORT SORT:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="text-align: left; padding: 5px;">DATA FIELD</th> <th style="text-align: left; padding: 5px;">SELECTION OPTIONS</th> <th style="text-align: left; padding: 5px;">PAGE-BREAK</th> <th style="text-align: left; padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">ENY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Appropriation Sym</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Program/Category</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Subsidiary</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	ENY	None	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	Appropriation Sym	None	Yes	Yes	Program/Category	None	Yes	Yes	General Ledger	None	Yes	Yes	Subsidiary	None	Yes	Yes	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																														
ENY	None	Yes	Yes																														
Fund	Level of Detail: F	Yes	Yes																														
Appropriation Sym	None	Yes	Yes																														
Program/Category	None	Yes	Yes																														
General Ledger	None	Yes	Yes																														
Subsidiary	None	Yes	Yes																														
Document Number	None	No	No																														

EXHIBIT III-D06 (Continued)

CSTARD06 9990 (DEST: L1 CPT1) PM, ,0,0,0,2, , , , , , , , , , ***** RUN:10/27/00 TIME:19.15
FISCAL MONTH: 03 SEPTEMBER ***** 2(BYFDT) FUND(ALL) GL(ALL)
DEPARTMENT OF AIR QUALITY
DOCUMENT REPORT BY APPROPRIATION
AS OF 09/30/00

***** PAGE 234

ENY:	99		
FUND:	0001	GENERAL FUND	
FD:	00		
APPN:	001	SUPPORT	REF: 000
PROG/CAT:	10 00 000	CLEAN AIR	
GLAN:	3020	CLAIMS FILED	

SUBSIDIARY:

DOC_NO		SUF		FFY		OBJECT		ORIGINAL DOCUMENT			ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE	
FD_I	FS	MD	REF	INDEX	SOURCE	PCA	PROJECT	DOC_DATE	CR_DATE	LST_PROC	CL_DATE	VENDOR_NO/SF	VENDOR NAME					
DL000060	00	99						61.37-			0.00	61.37	61.37		0.00			
	O	1	001		000000			000801	000802	000905								
DL000070	00	99						275.63-			0.00	275.63	275.63		0.00			
	O	1	001		000000			000801	000802	000905								
DL000110	00	99						4,887.90-			0.00	4,887.90	4,887.90		0.00			
	O	1	001		000000			000817	000818	000905								
DL000150	00	99						841.81-			0.00	841.81	841.81		0.00			
	O	1	001		000000			000829	000830	001003								
DL000170	00	99						42.90-			0.00	42.90	42.90		0.00			
	O	1	001		000000			000913	000914	001003								
DL000220	00	99						1,095.00-			0.00	1,095.00	1,095.00		0.00			
	O	1	001		000000			000929	001002	001102								
DL000230	00	99						23.92-			0.00	23.92	23.92		0.00			
	O	1	001		000000			000929	001002	001102								
DL000250	00	99						30.25-			0.00	30.25	30.25		0.00			
	O	1	001		000000			001006	001010	001102								
DL000270	00	99						3,042.33-			0.00	3,042.33	3,042.33		0.00			
	O	1	001		000000			001018	001019	001102								
TOTAL SUBSIDIARY								10,301.11-			0.00	10,301.11	10,301.11		0.00			
TOTAL GENERAL LEDGER 3020								10,301.11-			0.00	10,301.11	10,301.11		0.00			

EXHIBIT III-D06 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D07

REPORT NAME:	Year-end Document File Report of Encumbrances (GLA 6150)	REPORT NO:	CSTARD07
PURPOSE:	The accounting data that supports the worksheet that is used to reclassify certain encumbrances as payables at Year-end.		
DESCRIPTION:	General Ledger Account 6150 Document File records are selected for the report. This report assists agencies in the Year-end encumbrance reclassification process. Some agencies also use this report (with the Report Period: P: option of I) to aide in the identification of contracts signed with Individuals/Sole Proprietors subject to EDD's reporting requirements for independent contractors.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Blank (all Vendor Types) or I = Vendor Type I only			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	Not Applicable	Not Applicable	0-No Sort/Sub-total on PCA
1-Section			1-Sort/Subtotal on PCA
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Not Applicable			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			

EXHIBIT III-D07 (Continued)

REPORT NAME: Year-end Document File Report of Encumbrances (GLA 6150)	REPORT NO: CSTARD07																																												
FINANCIAL ELEMENTS: <p>Original Document: The amount of funds encumbered by the original accounting transaction. Normal balance is a Debit.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of liquidations recorded against the document. After a final payment, this amount equals the sum of the Original Document and Adjustments. Normal balance is a Debit.</p> <p>Payments: The amount of payments made against the document. This may exceed the amount shown in the Liquidations column. Normal balance is a Debit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit.</p>																																													
SPECIAL NOTES: <p>Documents with a zero balance are excluded from the report.</p> <p>Vendor Types C, E, I, P and 0 are grouped together if the Report Period: P option is blank.</p>																																													
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes, if selected</td> </tr> <tr> <td style="padding: 5px;">ENY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund Detail</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Vendor Type</td> <td style="padding: 5px;">Report Period: P</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Appropriation Sym</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Program/Category</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">PCA</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">Yes, if F = 1</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">Not displayed</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	Yes, if selected	ENY	None	Yes	Yes	Fund	None	Yes	Yes	Fund Detail	Not displayed	No	No	Vendor Type	Report Period: P	Yes	Yes	Appropriation Sym	None	Yes	Yes	Program/Category	None	Yes	Yes	PCA	Level of Detail: F	No	Yes, if F = 1	General Ledger	Not displayed	No	No	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																										
Section	Level of Detail: I	Yes	Yes, if selected																																										
ENY	None	Yes	Yes																																										
Fund	None	Yes	Yes																																										
Fund Detail	Not displayed	No	No																																										
Vendor Type	Report Period: P	Yes	Yes																																										
Appropriation Sym	None	Yes	Yes																																										
Program/Category	None	Yes	Yes																																										
PCA	Level of Detail: F	No	Yes, if F = 1																																										
General Ledger	Not displayed	No	No																																										
Document Number	None	No	No																																										

EXHIBIT III-D07 (Continued)

CSTARD07 9990 (DEST: L2 CPT1) PM, ,0,0,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG)***** 1(BYPCA) FUND(ALL) GL(6150)

DEPARTMENT OF AIR QUALITY
 YEAR-END DOCUMENT FILE REPORT OF ENCUMBRANCES
 AS OF 09/30/00

PAGE 2

ENACTMENT YR: 99

FUND: 0244

CLEAN AIR FUND

VENDOR TYPE: C,E,I,P,0

VENDOR

APPN: 033

STATE OPERATIONS

PROG/CAT: 0 00 000

REF:001

DOC_NO	SUF	FFY	OBJECT	ORIGINAL DOCUMENT			ADJUSTMENTS		LIQUIDATIONS	PAYMENTS	BALANCE	

	FS	MD	REF	INDEX	PCA	PROJECT	DOC_DTE	CR_DTE	LAST_PROC	VENDOR_NO/SUF	VENDOR_NAME	

PO-20361	00		00	3-11-206-00		3,710.63			174.00	3,709.62-	3,536.62-	175.01
	S	1	001	4242	65000	BB1234		000724	001013	6802224950-00	SCOTT LEWIS MACHINERY	
PO-20364	00		00	3-11-227-00		3,405.64			29.09	0.00	0.00	3,434.73
	S	1	001	4242	65000	BB1234		000724	001002	4000008798-00	AMERICAN TRANSIT SUPPLY	
PO-20373	00		00	3-11-206-00		5,535.71			0.00	0.00	0.00	5,535.71
	S	1	001	4242	65000	BB1234	000718	000718	001002	7703159980-00	M J INDUSTRIAL SUPPLY	
PO-20374	00		00	3-11-206-00		4,454.05			0.00	4,452.05-	4,452.05-	2.00
	S	1	001	4242	65000	BB1234	000725	000725	000821	6800968800-00	PACIFIC LASER INC.	
PO-20377	00		00	3-11-206-00		2,818.71			0.00	0.00	0.00	2,818.71
	S	1	001	4242	65000	BB1234	000810	000810	001002	5719004540-00	EXACT INDUSTRIES	
PO-20378	00		00	3-11-206-00		8,821.50			0.00	0.00	0.00	8,821.50
	S	1	001	4242	65000	BB1234	000808	000808	001011	6073233400-00	HILTI, INC	
PO-20381	00		00	3-11-227-00		3,324.21			0.00	0.00	0.00	3,324.21
	S	1	001	4242	65000	BB1234	000811	000811	000811	9515613040-00	G P RESOURCES	
PO-20382	00		00	3-11-227-00		167.19			0.00	0.00	0.00	167.19
	S	1	001	4242	65000	BB1234	000829	000829	001011	9520027360-00	CITRUS MOTORS ONTARIO, INC	
PO-20383	00		00	3-11-206-00		15,551.25			0.00	0.00	0.00	15,551.25
	S	1	001	4242	65000	BB1234	000831	000831	001011	5544834660-00	SINOR AND SONS EQUIPMENT CO	
PO-20384	00		00	3-11-227-00		952.67			0.00	0.00	0.00	952.67
	S	1	001	4242	65000	BB1234	000831	000831	001011	6803450240-00	EMPIRE EQUIPMENT CO.	
PO-20385	00		00	3-11-206-00		11,524.38			0.00	0.00	0.00	11,524.38
	S	1	001	4242	65000	BB1234	000905	000905	001023	9425798430-00	MCGRATH RENT CORP DBA MOBILE	
PO-20386	00		00	3-11-206-00		11,777.38			0.00	0.00	0.00	11,777.38
	S	1	001	4242	65000	BB1234	000905	000905	000905	9425798430-00	MCGRATH RENT CORP DBA MOBILE	
PO-20387	00		00	3-11-227-00		1,265.60			0.00	0.00	0.00	1,265.60
	S	1	001	4242	65000	BB1234	000907	000907	001020	9431002520-00	AMERICAN TRANSIT SUPPLY	
PO-20388	00		00	3-11-206-00		3,150.74			0.00	0.00	0.00	3,150.74
	S	1	001	4242	65000	BB1234	000907	000907	001017	3304296840-00	TOOLS - R - US	
PO-20389	00		00	3-11-206-00		6,313.08			0.00	0.00	0.00	6,313.08
	S	1	001	4242	65000	BB1234	000907	000907	001010	5662986580-00	A P SUPPLY	
PO-20390	00		00	3-11-227-00		272.06			0.00	0.00	0.00	272.06
	S	1	001	4242	65000	BB1234	000912	000912	001005	9415160120-00	J R'S AUTO PARTS	
PO-20391	00		00	3-11-227-00		598.40			0.00	0.00	0.00	598.40
	S	1	001	4242	65000	BB1234	000918	000918	000918	9525480150-00	DANIEL'S TIRE SERVICE	

EXHIBIT III-D07 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D08

REPORT NAME:	Office Revolving Fund Status Report	REPORT NO:	CSTARD08
PURPOSE:	Provides information used to monitor the status of Office Revolving Fund (ORF) Advances.		
DESCRIPTION:	This report contains Fund 0998 documents with General Ledger Account (GLA) 1190, 1400, 1710, 1712 and 1714. Use the D02 Report to monitor the recovery of advances that are more than 30 days old.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-Sort by Doc Nbr	0-No GLAN Sort	Not Applicable	1-Fund
1-Sort by Vend Nbr	1-Sort by GLAN		2-Fund Detail
Fund Selection: Not Applicable			
GLA Selection: Blank (all GLAs) or any valid GLA (1190, 1400, 1710, 1712 and 1714)			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original: The amount established by the original accounting transaction. Normal balance is a Debit.			
Adjustments: Net of subsequent adjustment entries to increase (additional advances) or decrease (refunds) the original document. Normal balance may be either a Debit (increases) or Credit (decreases).			
Liquidations: Collections or liquidations made to the document. Normal balance is a Credit.			
Balance: Calculated as the sum of Original, Adjustments and Liquidations. Normal balance is a Debit.			

EXHIBIT III-D08 (Continued)

REPORT NAME: Office Revolving Fund Status Report	REPORT NO: CSTARD08
---------------------------------------------------------	----------------------------

SPECIAL NOTES:

Document Number follows Vendor Number and Vendor Name when the requested Index level is **1**.

For GLA 1400, the Vendor Number and Name, if present on the Document File, is ignored. The Vendor Number is left blank; and the Vendor Name is replaced with "Office Revolving Fund Cashier".

When the requested Fund level is **2** (Fund Detail) the report sorts records by the Information Fund Detail code, which is used to sort the document records. Agencies do not have to be doing Appropriation Fund Detail accounting to have documents sorted by Fund Detail in this report.

REPORT SORT:

The first table shows the sort order when the requested Program level is **0** and Index level is **0**. Document Number and Vendor Number are displayed in reverse order when the Index level is **1**.

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	Yes
Document Number	Level of Detail: I	No	No
Vendor Number	Level of Detail: I	No	Yes, if Sort

The second table shows the sort order when the requested Program level is **1** and Index level is **0**. Document Number and Vendor Number are displayed in reverse order when the Index level is **1**.

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	Yes
General Ledger	Level of Detail: P	Yes	Yes
Document Number	Level of Detail: I	No	No
Vendor Number	Level of Detail: I	No	Yes, if Sort

EXHIBIT III-D08 (Continued)

CSTARD08 9990 (DEST: L2 CPT2) PM, ,0,1,0,1,
FISCAL MONTH: 03 SEPTEMBER 0(BYDN) 1(BYGL)***** 1(FUND)***** GL(ALL)

***** RUN:10/27/00 TIME:19.15

DEPARTMENT OF AIR QUALITY
OFFICE REVOLVING FUND STATUS REPORT
AS OF 09/30/00

***** PAGE 2

FUND:	0998	OFFICE REVOLVING FUND
GLAN:	1710	EXPENSE ADVANCES

DOC_NO /SFX		VENDOR_NO /SFX		VENDOR NAME			SUBSIDIARY			
FFY	INDEX	DOC_DATE	CR_DATE	LAST-PROC	DUE_DATE	CL_DATE	ORIGINAL	ADJUSTMENTS	LIQUIDATIONS	BALANCE
14227100	40	00000113039	00	MEALEY'S PUBLICATIONS INC						
00		000801	000914	000920	000801		4,760.00	0.00	4,760.00-	0.00
14227100	80	0000003050	00	CALIFORNIA PRIMARY CARE CONF.						
00		000801	000829	000920	000801		190.00	0.00	190.00-	0.00
14227100	90	0000012064	00	LORMAN BUSINESS CENTER						
00		000801	000829	000920	000801		239.00	0.00	239.00-	0.00
14227101	00	0000013060	00	METROLINK						
00		000801	000829	000919	000801		804.25	0.00	804.25-	0.00
14227101	30	0000001077	00	ALLIANCE FOR HEALTH CARE						
00		000808	000829	000920	000808		995.00	0.00	995.00-	0.00
14227101	40	0000006030	00	FOOTHILL TRANSIT						
00		000810	000829	001013	000810		170.00	0.00	0.00	170.00
14227101	50	0000005003	00	EL DORADO TRANSIT AUTHORITY						
00		000810	000829	001012	000810		170.00	0.00	170.00-	0.00
14227101	60	0000018030	00	CITY OF ROSEVILLE						
00		000810	000829	001012	000810		203.20	0.00	203.20-	0.00
14227101	70	0000006033	00	CITY OF FOLSOM						
00		000810	000829	001012	000810		85.00	0.00	85.00-	0.00
14227103	70	0000012064	00	LORMAN BUSINESS CENTER						
00		000810	000829	000920	000810		478.00	0.00	478.00-	0.00
14227103	80	0000024002	00	YUBA SUTTER TRANSIT						
00		000810	000912	000925	000810		170.00	0.00	170.00-	0.00
14227104	30	0000016043	00	SAN FRANCISCO MUNICIPAL						
00		000814	000831	000929	000814		603.00	0.00	603.00-	0.00
14227104	40	0000004050	00	DEPT. OF PERSONNEL ADMIN						
00		000814	000831	000921	000814		60.00	0.00	60.00-	0.00
14227104	70	0000004050	00	DEPT. OF PERSONNEL ADMIN						
00		000815	000911	000920	000815		290.00	0.00	290.00-	0.00
14227105	30	0000015023	00	OFFICE OF ADMINISTRATIVE LAW						
00		000816	000829	000831	000816		1,100.00	0.00	0.00	1,100.00
14227106	90	0000019081	00	SAN JOAQUIN REGIONAL						
00		000822	000829	001012	000822		105.00	0.00	105.00-	0.00
14227108	10	0000013060	00	METROLINK						
00		000825	000829	000925	000825		946.25	0.00	946.25-	0.00
14227108	20	0000006030	00	FOOTHILL TRANSIT						
00		000825	000830	001016	000825		85.00	0.00	85.00-	0.00

EXHIBIT III-D08 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D09

REPORT NAME:	Document Report by General Ledger, Subsidiary Account and Document Number	REPORT NO:	CSTARD09
PURPOSE:	Displays all documents within each General Ledger Account and Subsidiary, where applicable. The totals for a General Ledger Account and/or Subsidiary on this report should match the G01 and S01 reports requested at the same level.		
DESCRIPTION:	The report displays all Document File records by the key to the General Ledger and Subsidiary Files as applicable. The Document File records are displayed in Document Number sequence. (See D10 Report for a listing of Document Records by Object of Expenditure.)		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-Exclude	Not Applicable	0-No Fund
1-Section	Subsidiary		1-Fund
	1-Include		2-Fund Detail
	Subsidiary		3-Project
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs) or any valid GLA			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			

EXHIBIT III-D09 (Continued)

REPORT NAME: Document Report by General Ledger, Subsidiary Account and Document Number	REPORT NO: CSTARD09																																
FINANCIAL ELEMENTS: <p>Original Document: The amount established by the original accounting transaction. Normal balance is a Debit for Receivables; Credit for Payables.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment of Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit for Receivables; Debit for Payables.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Credit for Receivables; Debit for Payables.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables; Credit for Payables.</p>																																	
SPECIAL NOTES: <p>When the report is requested with Section (Index level is 1), the IC Table General Ledger Organization Level Indicator is used to determine if the Document File is sorted by Section. When this indicator is set to 1 in the IC Table, "Section" appears on the report.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 3), the Fund Detail code is used to sort the documents. Agencies do not have to indicate Appropriation Control Indicator of 1 (Fund Detail level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the report is requested with Project (Fund level is 3), the PC Table General Ledger Project Level Indicator is used to determine if the Document is sorted by Project. When this indicator is set to 1 or B on the PC Table, Project Number (not including Work Phase) appears on the report.</p> <p>Zero balance documents are excluded on a PY report.</p>																																	
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Project Number</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Subsidiary</td> <td style="padding: 5px;">Level of Detail: P</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">FFY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	No	Fund	Level of Detail: F	Yes	No	Project Number	Level of Detail: F	Yes	No	General Ledger	None	Yes	Yes	Subsidiary	Level of Detail: P	Yes	Yes	Document Number	None	No	No	FFY	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																														
Section	Level of Detail: I	Yes	No																														
Fund	Level of Detail: F	Yes	No																														
Project Number	Level of Detail: F	Yes	No																														
General Ledger	None	Yes	Yes																														
Subsidiary	Level of Detail: P	Yes	Yes																														
Document Number	None	No	No																														
FFY	None	No	No																														

EXHIBIT III-D09 (Continued)

CSTARD09 9990 (DEST: A1 CPT1) PM, ,0,1,0,1, / / / / ***** RUN:10/27/00 TIME:19.15
FISCAL MONTH: 03 SEPTEMBER 0(ORG) 1(SUB)***** 1(FUND) FUND(ALL) GL(ALL)

DEPARTMENT OF AIR QUALITY
DOCUMENT REPORT BY GENERAL LEDGER, SUBSIDIARY ACCOUNT AND DOCUMENT NUMBER
AS OF 09/30/00

***** PAGE 1

FUND:	0001	GENERAL FUND
GENERAL LEDGER:	1400	DUE FROM OTHER FUNDS OR APPROPRIATIONS
SUBSIDIARY:	00140000	DUE FROM HAZARDOUS WASTE CONTROL ACCT

DOCUMENT NO FY EY C OB DTL AO						ORIGINAL DOCUMENT				ADJUSTMENTS			LIQUIDATIONS		PAYMENTS		BALANCE
FD	AS	FS	M	REF	INDX	SOURCE	PCA	PROJECT-WP	DOC	DATE	CR	DATE	LST	PROC	VENDOR NO/SFX	VENDOR NAME	
000000001-00	99	99						6,722.38					0.00		3,113.05-	3,113.05-	3,609.33
190 R	1	001	0550	991913-33	80821			09-15-99	09-22-99	02-15-00	0000014074-00	DEPT. OF TOXIC SUBSTANCES					
*TOTAL SUBSIDIARY 00140000								6,722.38					0.00		3,113.05-	3,113.05-	3,609.33
*TOTAL GENERAL LEDGER 1400								6,722.38					0.00		3,113.05-	3,113.05-	3,609.33

EXHIBIT III-D09 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D10

REPORT NAME:	Document Report by General Ledger, Subsidiary Account and Object	REPORT NO:	CSTARD10
PURPOSE:	Displays all documents within each General Ledger Account and Subsidiary, where applicable, by Object of Expenditure. The totals for a General Ledger Account and/or Subsidiary on this report should match the G01 and S01 reports requested at the same level.		
DESCRIPTION:	The report displays all Document File records by the key to the General Ledger and Subsidiary Files as applicable. The Document File records are displayed in Object of Expenditure sequence. (See D09 for a listing of Document Records in Document Number sequence.)		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-Exclude	Not Applicable	0-No Fund
1-Section	Subsidiary		1-Fund
	1-Include		2-Fund Detail
	Subsidiary		3-Project
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs) or any valid GLA			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options:	All available output media		

EXHIBIT III-D10 (Continued)

REPORT NAME: Document Report by General Ledger, Subsidiary Account and Object	REPORT NO: CSTARD10																																				
FINANCIAL ELEMENTS: <p>Original Document: The amount of the document established by the original accounting transaction. Normal balance is a Debit for Receivables; Credit for Payables.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment for Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit for Receivables; a Debit for Payables.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Credit for Receivables; Debit for Payables.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables; Credit for Payables.</p>																																					
SPECIAL NOTES: <p>When the report is requested with Section (Index level is 1), the IC Table General Ledger Organization Level Indicator is used to determine if the Document File is sorted by Section. When this indicator is set to 1 in the IC Table, "Section" appears on the report.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 3), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the report is requested with Project (Fund level is 3), the PC Table General Ledger Project Level Indicator is used to determine if the Document is sorted by Project. When this indicator is set to 1 or B on the PC Table, Project Number (not including Work Phase) appears on the report.</p>																																					
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Project Number</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">GLA Selection</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Subsidiary</td> <td style="padding: 5px;">Level of Detail: P</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Category</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">FFY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	No	Fund	Level of Detail: F	Yes	No	Project Number	Level of Detail: F	Yes	No	General Ledger	GLA Selection	Yes	Yes	Subsidiary	Level of Detail: P	Yes	Yes	Category	None	No	No	Document Number	None	No	No	FFY	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																		
Section	Level of Detail: I	Yes	No																																		
Fund	Level of Detail: F	Yes	No																																		
Project Number	Level of Detail: F	Yes	No																																		
General Ledger	GLA Selection	Yes	Yes																																		
Subsidiary	Level of Detail: P	Yes	Yes																																		
Category	None	No	No																																		
Document Number	None	No	No																																		
FFY	None	No	No																																		

EXHIBIT III-D10 (Continued)

CSTARD10 9990 (DEST: A1 CPT1) PM, ,0,1,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 1(SUB) ***** 1(FUND) FUND(ALL) GL(ALL)

DEPARTMENT OF AIR QUALITY
 DOCUMENT REPORT BY GENERAL LEDGER, SUBSIDIARY ACCOUNT AND OBJECT
 AS OF 06/30/93

PAGE 76

FUND: 001 GENERAL FUND
 GENERAL LEDGER: 6150 ENCUMBRANCES
 SUBSIDIARY: 00000000

C OB DLT AO		DOCUMENT NO	FY	EY	ORIGINAL DOCUMENT	ADJUSTMENTS	LIQUIDATIONS	PAYMENTS	BALANCE	
FD AS	FS M	REF INDX	SOURCE	PCA	PROJCT-WP DOC DATE	CR DATE	LST PROC VENDOR NO/SFX	VENDOR NAME		
3-11-223		SPO00304-00	99	99			36.00	0.00	36.00-	0.00
500 D	1	001 3300		44010		03-31-00 04-14-00	05-05-00	PRIDE		
3-11-223		SPO00304-01	99	99			36.00	0.00	0.00	36.00
500 D	1	001 2300		44010		03-31-00 05-05-00	07-01-00 0000011576-00	PRIDE		
3-11-223		SPO00309-00	99	99			54.95	0.00	0.00	54.95
500 D	1	001 2210		92821		04-01-00 05-05-00	05-05-00 0000011413-00	RIGHT CHOICE, INC.		
3-11-223		SPO00322-00	99	99			12.40	0.00	0.00	12.40
500 D	1	001 3100		48010	HINCS0-92	04-15-00 05-28-00	07-26-00 0000011552-00	US NEWS & WORLD REPORT		
3-11-223		SPO00325-00	99	99			425.00	0.00	0.00	425.00
500 D	1	001 3500		92830		04-20-00 04-23-00	04-23-00 0000011402-00	COMMERCE-CENSUS		
3-11-223		SPO00328-00	99	99			69.95	0.00	69.95-	0.00
500 D	1	001 1300		32020	3B00S3-92	04-20-00 04-23-00	06-04-00	NEWSLETTER BOOK SERVICE		
3-11-223		SPO00330-00	99	99			53.88	0.00	53.88-	0.00
500 D	1	001 3330		92830		04-26-00 04-29-00	06-28-00 0000011316-00	PERSONNEL JOURNAL		
3-11-223		SPO00337-00	99	99			129.30	0.00	129.30-	0.00
500 D	1	001 1200		92810		04-28-00 04-29-00	06-17-00 0000010020-02	WEST PUBLISHING COMPANY		
3-11-223		SPO00338-00	99	99			25.00	0.00	25.00-	0.00
500 D	1	001 3400		92830		04-29-00 05-05-00	06-29-00	ACCOUNTANTS FOR THE		
3-11-223		SPO00341-00	99	99			46.85	0.00	46.85-	0.00
500 D	1	001 1200		92810		04-30-00 05-05-00	05-12-00 0000010020-02	WEST PUBLISHING COMPANY		
3-11-223		SPO00345-00	99	99			363.08	0.00	363.08-	0.00
500 D	1	001 1200		92810		05-04-00 05-07-00	07-02-00 0000011393-00	BANCROFT-WHITNEY		
3-11-223		SPO00356-00	99	99			1,500.00	0.00	0.00	1,500.00
500 D	1	001 2400		46012		05-06-00 05-24-00	07-26-00 0000011578-00	ALZHEIMER'S CARE & TRAINING		
3-11-223		SPO00366-00	99	99			95.00	0.00	95.00-	0.00
500 D	1	001 3320		41030	3B00S3-92	05-14-00 06-03-00	06-29-00 0000010556-00	LEARNING RESOURCES NETWORK INC		
3-11-223		SPO00368-00	99	99			116.00	0.00	0.00	116.00
500 D	1	001 2400		46012		05-14-00 05-28-00	07-01-00 0000010550-00	THE AMERICAN JOURNAL		
3-11-223		SPO00370-00	99	99			405.00	0.00	405.00-	0.00
500 D	1	001 3400		92830		05-14-00 05-24-00	06-17-00	PRACTITIONERS PUBLISHING CO		
3-11-223		SPO00371-00	99	99			8.50	0.00	0.00	8.50
500 D	1	001 1300		32020	3B00S3-92	05-14-00 05-28-00	07-26-00 0000020007-00	SUPERINTENDENT OF DOCUMENTS		
3-11-223		SPO00376-00	99	99			21.55	0.00	21.55-	0.00
500 D	1	001 1200		92810		05-21-00 05-28-00	06-17-00 0000010020-02	WEST PUBLISHING COMPANY		

EXHIBIT III-D10 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D11

REPORT NAME:	Report of Document File Records with Abnormal Balances	REPORT NO:	CSTARD11
PURPOSE:	Provides information on Document File records that have abnormal balances.		
DESCRIPTION:	The Report displays Document File records when the balance is a Credit for Receivables (General Ledger Accounts 1110 through 2920) or a Debit for Payables (General Ledger Accounts 3010 through 4430, 6150 and 6170). Only those records with an abnormal balance are on this report.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-No Project	Not Applicable	1-Fund
1-Section	1-Project		2-Fund Detail
	2-Work Phase		
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs) or any valid GLA			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			

EXHIBIT III-D11 (Continued)

REPORT NAME: Report of Document File Records with Abnormal Balances	REPORT NO: CSTARD11																																
FINANCIAL ELEMENTS: <p>Original Document: The amount established by the original accounting transaction. Normal balance is a Debit for Receivables and a Credit for Payables.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or Credit.</p> <p>Liquidations: The amount that has been liquidated. After final liquidation, this amount should equal the sum of the original and adjustment amount. Normal balance is a Credit for Receivables and a Debit for Payables.</p> <p>Payments: The actual amount of payments/collections made against the document number. Normal balance is a Credit for Receivables and a Debit for Payables.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. If the result is an abnormal balance (Credit for Receivables or Debit for Payables), the record is included on the report.</p>																																	
SPECIAL NOTES: <p>Obligations are not shown on a PY report.</p>																																	
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">DATA FIELD</th> <th style="width: 25%;">SELECTION OPTIONS</th> <th style="width: 25%;">PAGE-BREAK</th> <th style="width: 25%;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Section</td> <td>Level of Detail: I</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Project Number</td> <td>Level of Detail: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> <tr> <td>ENY</td> <td>None</td> <td>No</td> <td>No</td> </tr> <tr> <td>Program/Category</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	Yes	Project Number	Level of Detail: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	General Ledger	None	Yes	Yes	Document Number	None	No	No	ENY	None	No	No	Program/Category	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																														
Section	Level of Detail: I	Yes	Yes																														
Project Number	Level of Detail: P	Yes	Yes																														
Fund	Level of Detail: F	Yes	Yes																														
General Ledger	None	Yes	Yes																														
Document Number	None	No	No																														
ENY	None	No	No																														
Program/Category	None	No	No																														

EXHIBIT III-D11 (Continued)

CSTARD11 9990 (DEST: A1 CPT1) CM, ,0,0,0,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 04 OCTOBER 0(ORG) 0(NOPRJ)***** 1(FUND) FUND(ALL) GL(ALL)

DEPARTMENT OF AIR QUALITY
 REPORT OF DOCUMENT FILE RECORDS WITH AN ABNORMAL BALANCE
 AS OF 10/27/00

***** PAGE 3
 FUND: 0001 GENERAL FUND
 GLAN: 1400 DUE FROM OTHER FUNDS OR APPROPRIATIONS

*****										ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE	
DOC_NO	SUF	ENY	PROGRAM /	CAT	CAT	OB	DTL	AG	ORIGINAL DOC								
FD_I	FS	MD	REF	FFY	INDEX	PCA	PROJECT	SUBSIDRY	DOC_DATE	CR_DATE	LST_PROC	CL_DATE	VENDOR_NO/SF	VENDOR_NAME			
CR000140	85	99				1	01	003	757.99		0.00			1,281.15-	1,281.15-		523.16-
D	1	011	99	2561	20040			06750000	10/24/00	10/26/00	10/27/00		5551782690-00	MARGARET A THOMAS-ROSS			
*TOTAL GL ACCT 1400									757.99		0.00		1,281.15-	1,281.15-		523.16-	

EXHIBIT III-D11 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D12

REPORT NAME:	Encumbrance and Obligation Documents Supporting the Q12 Report	REPORT NO:	CSTARD12
PURPOSE:	Lists documents that support the Encumbrances and Obligations column on the Q12 Report.		
DESCRIPTION:	The Report lists Document File records for General Ledger Accounts 6150 and 6170 with balances other than zero. Records are listed in the same sequence as the Q12 Report and sorted within that sequence by Document Number. (The totals for a Category match the applicable Q12 Report line when requested at the same level with the GLAN 6150 option.)		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Blank=All Fiscal Years, C=Current Fiscal Year, P=Prior Fiscal Years			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	0-No Program	0-No Object	0-No Fund
1-Section	1-Program	1-Category	1-Fund
2-Sub-Section	2-Element	2-Object	2-Fund Detail
3-Unit	3-Component	3-Object Detail	4-Fund after Index
4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail
5-Sub-Sub-Unit	5-PCA		after Index
6-Index			
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (6150 and 6170), 6150 or 6170			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Not applicable.		
Destination Options:	All available output media		

EXHIBIT III-D12 (Continued)

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q12 Report	REPORT NO: CSTARD12																																				
FINANCIAL ELEMENTS: <p>Original Document: The amount established by the original accounting transaction. Normal balance is a Debit.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment of Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Credit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit.</p>																																					
SPECIAL NOTES: <p>The Document File is not affected by Cost Allocation or Fund Split. Therefore, the Q12 Report <u>must</u> be requested with the General Ledger Account 6150 option. Otherwise, the D12 Report and Q12 Report encumbrance difference represents allocated encumbrances.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 5), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the requested Fund level is 4 or 5, Fund and Fund Source follow Organization in the sorting of records on the report.</p> <p>The program and organization data displayed on the report is derived from the Document File record. The program and organization Document File Key data is not used for sorting purposes.</p> <p>Documents with a zero balance are excluded from the report.</p>																																					
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">FFY</td> <td style="padding: 5px;">Report Period: P</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Fund Source</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Organization</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Program</td> <td style="padding: 5px;">Level of Detail: P</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Category</td> <td style="padding: 5px;">Level of Detail: O/S</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">GLAN</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	FFY	Report Period: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	Fund Source	None	Yes	Yes	Organization	Level of Detail: I	Yes	Yes	Program	Level of Detail: P	No	Yes	Category	Level of Detail: O/S	No	Yes	General Ledger	GLAN	No	No	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																		
FFY	Report Period: P	Yes	Yes																																		
Fund	Level of Detail: F	Yes	Yes																																		
Fund Source	None	Yes	Yes																																		
Organization	Level of Detail: I	Yes	Yes																																		
Program	Level of Detail: P	No	Yes																																		
Category	Level of Detail: O/S	No	Yes																																		
General Ledger	GLAN	No	No																																		
Document Number	None	No	No																																		

EXHIBIT III-D12 (Continued)

```
CSTARD12 9990 (DEST: L2 CPT1) PM,C,4,1,4,0,          ,          ,          ,          ,          ,          ***** RUN:10/27/00 TIME:19.15
FISCAL MONTH: 03 SEPTEMBER      4(SBU ) 1(PROG ) 4(AGYOBJ) 0(NOFUND) FUND(ALL ) GL(ALL )
                                DEPARTMENT OF AIR QUALITY
                                ENCUMBRANCE & OBLIGATION DOCUMENTS SUPPORTING THE Q12 REPORT
                                AS OF 09/30/00
```

FFY:	00												
ORGANIZATION:	01-20-30-00	CLEAN AIR DIVISION											

PG	DESCRIPTION		C	OB	DTL	AO	GLAN	DOCUMENT	NO	CR	DATE	LST	PROC

VENDOR NO/SFX		VENDOR NAME	ORIGINAL DOCUMENT		ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE		
-----		-----	-----		-----		-----		-----		-----		
*TOTAL OBJECT 25			35,000.00		0.00		0.00		0.00		35,000.00		
31	CLEAN AIR PROGRAM		3-26-404		6150		C9900032-00		07/27/00		10/26/00		
9416876650-00		BANK OF AMERICA N	22,800.00		0.00		0.00		0.00		22,800.00		
*TOTAL OBJECT DETAIL 404			22,800.00		0.00		0.00		0.00		22,800.00		
*TOTAL OBJECT 26			22,800.00		0.00		0.00		0.00		22,800.00		
*TOTAL CATEGORY 3			433,551.68		0.00		22,752.46-		22,664.14-		410,799.22		
*TOTAL PROGRAM 31			433,551.68		0.00		22,752.46-		22,664.14-		410,799.22		

EXHIBIT III-D12 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D13

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q16 Report	REPORT NO: CSTARD13																																
PURPOSE: Lists documents that support the Encumbrances and Obligations column on the Q16 Report.																																	
DESCRIPTION: The report lists Document File records for General Ledger Accounts 6150 and 6170 with balances other than zero. Records are listed in the same sequence as the Q16 Report and sorted within that sequence by Document Number. (The totals for a Category match the applicable Q16 Report line when requested at the same level with the GLAN 6150 option.)																																	
REPORT REQUEST OPTIONS:																																	
<p>Report Period:</p> <p>FM: CM, PM or PY P: Blank=All Fiscal Years, C=Current Fiscal Year, P=Prior Fiscal Years</p> <p>Level of Detail:</p> <table style="width: 100%; border: none;"> <thead> <tr> <th style="text-align: left;"><u>Index (I)</u></th> <th style="text-align: left;"><u>Program (P)</u></th> <th style="text-align: left;"><u>Object/Source(O/S)</u></th> <th style="text-align: left;"><u>Fund (F)</u></th> </tr> </thead> <tbody> <tr> <td>0-No Organization</td> <td>0-No Program</td> <td>0-No Object</td> <td>0-No Fund</td> </tr> <tr> <td>1-Section</td> <td>1-Program</td> <td>1-Category</td> <td>1-Fund</td> </tr> <tr> <td>2-Sub-Section</td> <td>2-Element</td> <td>2-Object</td> <td>2-Fund Detail</td> </tr> <tr> <td>3-Unit</td> <td>3-Component</td> <td>3-Object Detail</td> <td>4-Fund after PCA</td> </tr> <tr> <td>4-Sub-Unit</td> <td>4-Task</td> <td>4-Agency Object</td> <td>5-Fund Detail</td> </tr> <tr> <td>5-Sub-Sub-Unit</td> <td>5-PCA</td> <td></td> <td>after PCA</td> </tr> <tr> <td>6-Index</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>Fund Selection: Blank (all Funds) or any valid Fund</p> <p>GLA Selection: Blank (6150 and 6170), 6150 or 6170</p> <p>Additional Report Selection Options Popup Screen:</p> <p>Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.</p> <p>PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.</p> <p>OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.</p> <p>FFY Selection: Enter the FFY or leave blank for all FFYs.</p> <p>PRJ/WP Selection: Not applicable.</p> <p>Destination Options: All available output media</p>		<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	0-No Organization	0-No Program	0-No Object	0-No Fund	1-Section	1-Program	1-Category	1-Fund	2-Sub-Section	2-Element	2-Object	2-Fund Detail	3-Unit	3-Component	3-Object Detail	4-Fund after PCA	4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail	5-Sub-Sub-Unit	5-PCA		after PCA	6-Index			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>																														
0-No Organization	0-No Program	0-No Object	0-No Fund																														
1-Section	1-Program	1-Category	1-Fund																														
2-Sub-Section	2-Element	2-Object	2-Fund Detail																														
3-Unit	3-Component	3-Object Detail	4-Fund after PCA																														
4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail																														
5-Sub-Sub-Unit	5-PCA		after PCA																														
6-Index																																	

EXHIBIT III-D13 (Continued)

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q16 Report	REPORT NO: CSTARD13																																				
FINANCIAL ELEMENTS: <p>Original Document: The amount of the document established by the original accounting transaction. Normal balance is a Debit.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment of Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Debit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit.</p>																																					
SPECIAL NOTES: <p>The Document File is not affected by Cost Allocation or Fund Split. Therefore, the Q16 Report must be requested with the General Ledger Account 6150 option. Otherwise, the D13 Report and Q16 Report encumbrance difference represents allocated encumbrances.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 5), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the requested Fund level is 4 or 5, Fund and Fund Source follow Program in the sorting of records on the report.</p> <p>The program and organization data displayed on the report is from the informational area of the Document File record. The program and organization Document File Key data is not used for sorting purposes.</p> <p>Documents with a zero balance are excluded from the report.</p>																																					
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">DATA FIELD</th> <th style="width: 30%;">SELECTION OPTIONS</th> <th style="width: 20%;">PAGE-BREAK</th> <th style="width: 25%;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>FFY</td> <td>Report Period: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund Source</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Program</td> <td>Level of Detail: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Organization</td> <td>Level of Detail: I</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>Category</td> <td>Level of Detail: O/S</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>GLAN</td> <td>No</td> <td>No</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	FFY	Report Period: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	Fund Source	None	Yes	Yes	Program	Level of Detail: P	Yes	Yes	Organization	Level of Detail: I	No	Yes	Category	Level of Detail: O/S	No	Yes	General Ledger	GLAN	No	No	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																		
FFY	Report Period: P	Yes	Yes																																		
Fund	Level of Detail: F	Yes	Yes																																		
Fund Source	None	Yes	Yes																																		
Program	Level of Detail: P	Yes	Yes																																		
Organization	Level of Detail: I	No	Yes																																		
Category	Level of Detail: O/S	No	Yes																																		
General Ledger	GLAN	No	No																																		
Document Number	None	No	No																																		

EXHIBIT III-D13 (Continued)

CSTARD13 9990 (DEST: L2 CPT1) PM,C,6,1,4,0, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 6(INDEX) 1(PROG) 4 (AGYOBJ) 0 (NOFUND) FUND (ALL) GL (ALL)
 DEPARTMENT OF AIR QUALITY
 ENCUMBRANCE & OBLIGATION DOCUMENTS SUPPORTING THE Q16 REPORT
 AS OF 09/30/00

PAGE 2

FFY:	00	PROGRAM:	31	CLEAN AIR PROGRAM														
SEC	SS	U	SU	SSU	INDX	DESCRIPTION	C	OB	DTL	AO	GLAN	DOCUMENT	NO	CR	DATE	LST	PROC	
					VENDOR NO/SFX	VENDOR NAME	ORIGINAL DOCUMENT	ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE				
					*TOTAL OBJECT 25		35,000.00	0.00		0.00		0.00		35,000.00				
					01-20-30	3000 CLEAN AIR OUTREACH		3-26-404	6150	C9900032-00	07/27/00	10/26/00						
					9416876650-00	BANK OF AMERICA N	22,800.00	0.00		0.00		0.00		22,800.00				
					*TOTAL OBJECT DETAIL 404		22,800.00	0.00		0.00		0.00		22,800.00				
					*TOTAL OBJECT 26		22,800.00	0.00		0.00		0.00		22,800.00				
					*TOTAL CATEGORY 3		433,551.68	0.00		22,752.46-		22,664.14-		410,799.22				
					*TOTAL INDEX 3000		433,551.68	0.00		22,752.46-		22,664.14-		410,799.22				
					01-20-30-01-10	3010 ADMINISTRATIVE SERVICES		3-14-263	6150	P0000017-00	07/10/00	07/10/00						
					0604950500-00	PITNEY BOWES INC	9,933.00	0.00		0.00		0.00		9,933.00				
					*TOTAL OBJECT DETAIL 263		9,933.00	0.00		0.00		0.00		9,933.00				
					*TOTAL OBJECT 14		9,933.00	0.00		0.00		0.00		9,933.00				
					*TOTAL CATEGORY 3		9,933.00	0.00		0.00		0.00		9,933.00				
					*TOTAL INDEX 3010		9,933.00	0.00		0.00		0.00		9,933.00				
					01-20-30-01-10	3011 WALT TRAINING UNIT		3-11-226-01	6150	DP001114-00	09/29/00	09/29/00						
					5739659090-00	NATIONAL COMPUTER	7,371.61	0.00		0.00		0.00		7,371.61				
					01-20-30-01-10	3011 WALT TRAINING UNIT		3-11-226-01	6150	DP001461-00	08/04/00	09/15/00						
					4000000708-00	PRISON INDUSTRY A	6,117.00	0.00		5,688.00-		5,688.00-		429.00				
					*TOTAL AGENCY OBJECT 01		13,488.61	0.00		5,688.00-		5,688.00-		7,800.61				
					*TOTAL OBJECT DETAIL 226		13,488.61	0.00		5,688.00-		5,688.00-		7,800.61				
					*TOTAL OBJECT 11		13,488.61	0.00		5,688.00-		5,688.00-		7,800.61				
					01-20-30-01-10	3011 WALT TRAINING UNIT		3-21-333	6150	DP002052-00	09/29/00	09/29/00						
					9430554820-00	CRM FILMS	1,453.08	0.00		0.00		0.00		1,453.08				
					*TOTAL OBJECT DETAIL 333		1,453.08	0.00		0.00		0.00		1,453.08				
					01-20-30-01-10	3011 WALT TRAINING UNIT		3-21-335	6150	P0000003-00	07/10/00	07/10/00						
					9421862480-00	AIR ENFORCEMENT T	102,500.00	0.00		0.00		0.00		102,500.00				

EXHIBIT III-D13 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D14

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q19 Expenditure Report	REPORT NO: CSTARD14																																
PURPOSE: Lists documents that support the Encumbrances and Obligations column on the Q19 Report.																																	
DESCRIPTION: The report lists Document File records for General Ledger Accounts 6150 and 6170 with balances other than zero that contain a Project Number. Records are listed in the same sequence as the Q19 Report and sorted within that sequence by Document Number. (The totals for a Category match the applicable Q19 Report line when requested at the same level with the GLAN 6150 option.)																																	
REPORT REQUEST OPTIONS:																																	
<p>Report Period:</p> <p>FM: CM, PM or PY P: Blank=All Fiscal Years, C=Current Fiscal Year, P=Prior Fiscal Years</p> <p>Level of Detail:</p> <table style="width: 100%; border: none;"> <thead> <tr> <th style="text-align: left;"><u>Index (I)</u></th> <th style="text-align: left;"><u>Program (P)</u></th> <th style="text-align: left;"><u>Object/Source(O/S)</u></th> <th style="text-align: left;"><u>Fund (F)</u></th> </tr> </thead> <tbody> <tr> <td>0-No Organization</td> <td>0-No Program</td> <td>0-No Object</td> <td>0-No Fund</td> </tr> <tr> <td>1-Section</td> <td>1-Program</td> <td>1-Category</td> <td>1-Fund</td> </tr> <tr> <td>2-Sub-Section</td> <td>2-Element</td> <td>2-Object</td> <td>2-Fund Detail</td> </tr> <tr> <td>3-Unit</td> <td>3-Component</td> <td>3-Object Detail</td> <td>4-Fund after PCA</td> </tr> <tr> <td>4-Sub-Unit</td> <td>4-Task</td> <td>4-Agency Object</td> <td>5-Fund Detail</td> </tr> <tr> <td>5-Sub-Sub-Unit</td> <td>5-PCA</td> <td></td> <td>after PCA</td> </tr> <tr> <td>6-Index</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>Fund Selection: Blank (all Funds) or any valid Fund</p> <p>GLA Selection: Blank (6150 and 6170), 6150 or 6170</p> <p>Additional Report Selection Options Popup Screen:</p> <p>Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.</p> <p>PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.</p> <p>OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.</p> <p>FFY Selection: Enter the FFY or leave blank for all FFYs.</p> <p>PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.</p> <p>Destination Options: All available output media</p>		<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	0-No Organization	0-No Program	0-No Object	0-No Fund	1-Section	1-Program	1-Category	1-Fund	2-Sub-Section	2-Element	2-Object	2-Fund Detail	3-Unit	3-Component	3-Object Detail	4-Fund after PCA	4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail	5-Sub-Sub-Unit	5-PCA		after PCA	6-Index			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>																														
0-No Organization	0-No Program	0-No Object	0-No Fund																														
1-Section	1-Program	1-Category	1-Fund																														
2-Sub-Section	2-Element	2-Object	2-Fund Detail																														
3-Unit	3-Component	3-Object Detail	4-Fund after PCA																														
4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail																														
5-Sub-Sub-Unit	5-PCA		after PCA																														
6-Index																																	

EXHIBIT III-D14 (Continued)

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q19 Expenditure Report	REPORT NO: CSTARD14																																								
FINANCIAL ELEMENTS: <p>Original Document: The amount of the document established by the original accounting transaction. Normal balance is a Debit.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment for Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Debit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit.</p>																																									
SPECIAL NOTES: <p>The Document File is not affected by Cost Allocation or Fund Split. Therefore, the Q19 Report must be requested with the General Ledger Account 6150 option. Otherwise, the Q19 and the CSTARD14 encumbrance difference represents allocated encumbrances.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 5), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the requested Fund level is 4 or 5, Fund and Fund Source follow Organization in the sorting of records on the report.</p> <p>The program and organization data displayed on the report is from the informational area of the Document File record. The program and organization Document File Key data is not used for sorting purposes.</p> <p>Documents with a zero balance are excluded from the report.</p>																																									
REPORT SORT: <p>When Fund Level of Detail is 1 or 2:</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">DATA FIELD</th> <th style="width: 30%;">SELECTION OPTIONS</th> <th style="width: 20%;">PAGE-BREAK</th> <th style="width: 25%;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Project</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>FFY</td> <td>Report Period: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund Source</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Organization</td> <td>Level of Detail: I</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Program</td> <td>Level of Detail: P</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>Category</td> <td>Level of Detail: O/S</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>GLAN</td> <td>No</td> <td>No</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Project	None	Yes	Yes	FFY	Report Period: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	Fund Source	None	Yes	Yes	Organization	Level of Detail: I	Yes	Yes	Program	Level of Detail: P	No	Yes	Category	Level of Detail: O/S	No	Yes	General Ledger	GLAN	No	No	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																						
Project	None	Yes	Yes																																						
FFY	Report Period: P	Yes	Yes																																						
Fund	Level of Detail: F	Yes	Yes																																						
Fund Source	None	Yes	Yes																																						
Organization	Level of Detail: I	Yes	Yes																																						
Program	Level of Detail: P	No	Yes																																						
Category	Level of Detail: O/S	No	Yes																																						
General Ledger	GLAN	No	No																																						
Document Number	None	No	No																																						

EXHIBIT III-D14 (Continued)

```
CSTARD14 9990 (DEST: M1 CPT1) PM, ,0,0,0,1,          ,      ,      ,      ,      ,      ***** RUN:10/26/00 TIME:19.10  
FISCAL MONTH: 03 SEPTEMBER       0(ORG ) 0(NOPGM) 0(NO OBJ) 1(FUND ) FUND(ALL ) GL(ALL )  
  
DEPARTMENT OF AIR QUALITY  
ENCUMBRANCE & OBLIGATION DOCUMENTS SUPPORTING Q19 EXPENDITURE REPORT  
REQUEST DATE 10/26/00
```

***** PAGE 1

PROJ-WP:	CCAIR0-99	CCAIR S/O
FFY:	99	
FUND:	001	GENERAL FUND
FUND SOURCE:	D	CLEARING ACCOUNT
ORGANIZATION:	20-50-00-00-00-2500	CCAIR BRANCH

PG	EL	CMP	TSK	PCA	DESCRIPTION	C	OB	DTL	GLAN	DOCUMENT	NO	CR	DATE	LST	PROC	
VENDOR NO/SFX					VENDOR NAME	ORIGINAL DOCUMENT		ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE		
40-50				45210	CRYSTAL CLEAN AIR S/O	1-01-003	6150	A8PR0009-01	07/13/93	07/13/93						
00	YEAR-END-01	ACCRUALS--	VENDOR TYPE 1		24.52			0.00		0.00			0.00			24.52
40-50				45210	CRYSTAL CLEAN AIR S/O	1-01-003	6150	A8PR0010-01	07/13/93	07/13/93						
00	YEAR-END-01	ACCRUALS--	VENDOR TYPE 1		3,592.76			0.00		0.00			0.00			3,592.76
*TOTAL OBJECT DETAIL 003					3,617.28			0.00		0.00			0.00			3,617.28
40-50				45210	CRYSTAL CLEAN AIR S/O	1-01-033	6150	A8PR0010-02	07/13/93	07/13/93						
00	YEAR-END-01	ACCRUALS--	VENDOR TYPE 1		969.85			0.00		0.00			0.00			969.85
*TOTAL OBJECT DETAIL 033					969.85			0.00		0.00			0.00			969.85
40-50				45210	CRYSTAL CLEAN AIR S/O	1-01-083	6150	A8PR0002-01	07/13/93	07/15/93						
00	YEAR-END-01	ACCRUALS--	VENDOR TYPE 1		217.51			15.00		0.00			0.00			232.51
*TOTAL OBJECT DETAIL 083					217.51			15.00		0.00			0.00			232.51
*TOTAL OBJECT 01					4,804.64			15.00		0.00			0.00			4,819.64
40-50				45210	CRYSTAL CLEAN AIR S/O	1-03-103	6150	A8PR0002-02	07/13/93	07/15/93						
00	YEAR-END-01	ACCRUALS--	VENDOR TYPE 1		11.58			20.00		0.00			0.00			31.58
*TOTAL OBJECT DETAIL 103					11.58			20.00		0.00			0.00			31.58
*TOTAL OBJECT DETAIL 137					66.16			0.00		0.00			0.00			66.16
*TOTAL OBJECT 03					77.74			20.00		0.00			0.00			97.74
*TOTAL CATEGORY 1					4,882.38			35.00		0.00			0.00			4,917.38

EXHIBIT III-D14 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D15

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q18 Expenditure Report	REPORT NO: CSTARD15																																
PURPOSE: Lists documents that support the Encumbrances and Obligations column on the Q18 Report.																																	
DESCRIPTION: The report lists Document File records for General Ledger Accounts 6150 and 6170 with balances other than zero that have a Project Number. Records are listed in the same sequence as the Q18 Report and sorted within that sequence by Document Number. (The totals for a Category match the applicable Q18 Report line when requested at the same level with the GLAN 6150 option.)																																	
REPORT REQUEST OPTIONS:																																	
<p>Report Period:</p> <p>FM: CM, PM or PY P: Blank=All Fiscal Years, C=Current Fiscal Year, P=Prior Fiscal Years</p> <p>Level of Detail:</p> <table style="width: 100%; border: none;"> <thead> <tr> <th style="text-align: left;"><u>Index (I)</u></th> <th style="text-align: left;"><u>Program (P)</u></th> <th style="text-align: left;"><u>Object/Source(O/S)</u></th> <th style="text-align: left;"><u>Fund (F)</u></th> </tr> </thead> <tbody> <tr> <td>0-No Organization</td> <td>0-No Program</td> <td>0-No Object</td> <td>0-No Fund</td> </tr> <tr> <td>1-Section</td> <td>1-Program</td> <td>1-Category</td> <td>1-Fund</td> </tr> <tr> <td>2-Sub-Section</td> <td>2-Element</td> <td>2-Object</td> <td>2-Fund Detail</td> </tr> <tr> <td>3-Unit</td> <td>3-Component</td> <td>3-Object Detail</td> <td>4-Fund after PCA</td> </tr> <tr> <td>4-Sub-Unit</td> <td>4-Task</td> <td>4-Agency Object</td> <td>5-Fund Detail</td> </tr> <tr> <td>5-Sub-Sub-Unit</td> <td>5-PCA</td> <td></td> <td>after PCA</td> </tr> <tr> <td>6-Index</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>Fund Selection: Blank (all Funds) or any valid Fund</p> <p>GLA Selection: Blank (6150 and 6170), 6150 or 6170</p> <p>Additional Report Selection Options Popup Screen:</p> <p>Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.</p> <p>PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.</p> <p>OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.</p> <p>FFY Selection: Enter the FFY or leave blank for all FFYs.</p> <p>PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.</p> <p>Destination Options: All available output media</p>		<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	0-No Organization	0-No Program	0-No Object	0-No Fund	1-Section	1-Program	1-Category	1-Fund	2-Sub-Section	2-Element	2-Object	2-Fund Detail	3-Unit	3-Component	3-Object Detail	4-Fund after PCA	4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail	5-Sub-Sub-Unit	5-PCA		after PCA	6-Index			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>																														
0-No Organization	0-No Program	0-No Object	0-No Fund																														
1-Section	1-Program	1-Category	1-Fund																														
2-Sub-Section	2-Element	2-Object	2-Fund Detail																														
3-Unit	3-Component	3-Object Detail	4-Fund after PCA																														
4-Sub-Unit	4-Task	4-Agency Object	5-Fund Detail																														
5-Sub-Sub-Unit	5-PCA		after PCA																														
6-Index																																	

EXHIBIT III-D15 (Continued)

REPORT NAME: Encumbrance and Obligation Documents Supporting the Q18 Expenditure Report	REPORT NO: CSTARD15																																								
FINANCIAL ELEMENTS: <p>Original Document: The amount established by the original accounting transaction. Normal balance is a Debit.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment for Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Debit.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit.</p>																																									
SPECIAL NOTES: <p>The Document File is not affected by Cost Allocation or Fund Split. Therefore, the Q18 Report must be requested with the General Ledger Account 6150 option. Otherwise, the Q18 Report and D15 Report encumbrance difference represents allocated encumbrances.</p> <p>When the report is requested with Fund Detail (Fund level is 2 or 5), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the requested Fund level is 4 or 5, Fund and Fund Source follow Program in the sorting of records on the report.</p> <p>The program and organization data displayed on the report is from the informational area of the Document File record. The program and organization Document File Key data is not used for sorting purposes.</p> <p>Documents with a zero balance are excluded from the report.</p>																																									
REPORT SORT: <p>When the requested Fund level is 1 or 2:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="text-align: left;">DATA FIELD</th> <th style="text-align: left;">SELECTION OPTIONS</th> <th style="text-align: left;">PAGE-BREAK</th> <th style="text-align: left;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Project</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>FFY</td> <td>Report Period: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund Source</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Program</td> <td>Level of Detail: P</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Organization</td> <td>Level of Detail: I</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>Category</td> <td>Level of Detail: O/S</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>GLAN</td> <td>No</td> <td>No</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Project	None	Yes	Yes	FFY	Report Period: P	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	Fund Source	None	Yes	Yes	Program	Level of Detail: P	Yes	Yes	Organization	Level of Detail: I	No	Yes	Category	Level of Detail: O/S	No	Yes	General Ledger	GLAN	No	No	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																						
Project	None	Yes	Yes																																						
FFY	Report Period: P	Yes	Yes																																						
Fund	Level of Detail: F	Yes	Yes																																						
Fund Source	None	Yes	Yes																																						
Program	Level of Detail: P	Yes	Yes																																						
Organization	Level of Detail: I	No	Yes																																						
Category	Level of Detail: O/S	No	Yes																																						
General Ledger	GLAN	No	No																																						
Document Number	None	No	No																																						

EXHIBIT III-D15 (Continued)

CSTARD15 9990 (DEST: L5 CPT1) PM,C,0,1,4,1, ***** RUN:10/27/00 TIME:19.15
 FISCAL MONTH: 03 SEPTEMBER 0(ORG) 1(PROG) 4(AGYOBJ) 1(FUND) FUND(ALL) GL(ALL)
 DEPARTMENT OF AIR QUALITY
 ENCUMBRANCE & OBLIGATION DOCUMENTS SUPPORTING Q18 EXPENDITURE REPORT
 AS OF 09/30/00

***** PAGE 1

PROJECT-WP: A06002-01 CLEAN AIR SCREENING
 FFY: 00
 FUND: 0001 GENERAL FUND
 FUND SOURCE: G GENERAL FUND
 PROGRAM: 31 CLEAN AIR PROGRAM

SEC	SS	U	SU	SSU	INDX	DESCRIPTION	C	OB	DTL	AO	GLAN	DOCUMENT	NO	CR	DATE	LST	PROC			
							8-81-842-30 6150 MHCIMC01-00 09/29/00 09/29/00													
VENDOR NO/SFX	VENDOR NAME					ORIGINAL DOCUMENT	ADJUSTMENTS		LIQUIDATIONS		PAYMENTS		BALANCE							
7605349500-00	WILLIAMS COMMUNICATI					60,000.00	0.00		0.00		0.00		60,000.00							
*TOTAL AGENCY OBJECT 30							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL OBJECT DETAIL 842							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL OBJECT 81							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL CATEGORY 8							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL PROGRAM 31							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL FUND SOURCE G							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL FUND 0001							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL FFY 00							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL WORK PHASE 01							60,000.00		0.00		0.00		0.00		60,000.00					
*TOTAL PROJECT A06002							60,000.00		0.00		0.00		0.00		60,000.00					

EXHIBIT III-D15 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D16 (Continued)

REPORT NAME:	Document Report for SCO Reconciliation	REPORT NO:	CSTARD16
PURPOSE:	Provides a detail listing of documents that support the DB1 Report that is used to perform the SCO/CALSTARS monthly reconciliation. See also D06 Report.		
DESCRIPTION:	Lists individual Document File records that are summarized on the DB1 Report.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	Not Applicable	Not Applicable	1-Fund
1-Section			2-Fund Detail
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Blank (all GLAs) or any valid GLA			
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original Document: The amount established by the original accounting transaction. Normal balance is a Debit for Receivables and a Credit for Payables.			
Adjustments: Net of subsequent adjustment entries to increase or decrease the original document. The balance may be either a Debit or a Credit.			
Liquidations: The amount of liquidations made against the document. Normal balance is a Credit for Receivables and a Debit for Payables.			
Payments: The amount of collections/payments made against the document. Normal balance is a Credit for Receivables and a Debit for Payables.			
Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables and a Credit for Payables.			

EXHIBIT III-D16 (Continued)

REPORT NAME: Document Report for SCO Reconciliation	REPORT NO: CSTARD16																																								
<p>SPECIAL NOTES:</p> <p>The report includes Document records for General Ledgers 1190, 1311 through 1315, 1319, 1330, 1340, 1380, 1400, 1500, 1710, 1730, 1740, 1750, 3010, 3020, 3040, 3110, 3210, 3220, 3290, 3730, 6150, and 6170. Document records are listed on this report for the specified General Ledgers <u>ONLY</u> when the document has an Appropriation Symbol number.</p> <p>Refer to the DB1 table for an explanation of system assigned SCO Type values and Document File record selection criteria.</p> <p>When Reference is 980, the report shows 000.</p> <p>When Account Type is PR, the Reference is followed by the FFY. When Account Type is CA, the report uses 99 for the program and "Clearing Account" as the program title.</p> <p>If all financial fields are zero, the Document File record is not on this report. If the Original Document, Liquidations and Balance are all zero, the Document File record is not on this report.</p> <p>Obligations are not shown on a PY report.</p>																																									
<p>REPORT SORT:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="text-align: left;">DATA FIELD</th> <th style="text-align: left;">SELECTION OPTIONS</th> <th style="text-align: left;">PAGE-BREAK</th> <th style="text-align: left;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Section</td> <td>Level of Detail: I</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>ENY</td> <td>None</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>Reference</td> <td>None</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>SCO Type</td> <td>None</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>Account Type</td> <td>None</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>Program/Category/ Source</td> <td>None</td> <td>Yes</td> <td>No</td> </tr> <tr> <td>General Ledger</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	No	Fund	Level of Detail: F	Yes	No	ENY	None	Yes	No	Reference	None	Yes	No	SCO Type	None	Yes	No	Account Type	None	Yes	No	Program/Category/ Source	None	Yes	No	General Ledger	None	Yes	Yes	Document Number	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																																						
Section	Level of Detail: I	Yes	No																																						
Fund	Level of Detail: F	Yes	No																																						
ENY	None	Yes	No																																						
Reference	None	Yes	No																																						
SCO Type	None	Yes	No																																						
Account Type	None	Yes	No																																						
Program/Category/ Source	None	Yes	No																																						
General Ledger	None	Yes	Yes																																						
Document Number	None	No	No																																						

EXHIBIT III-D16 (Continued)

[illegible]

***** PAGE 1

```
FUND:                0001 GENERAL FUND
ENACTMENT YR:        99
REFERENCE:           001
SCO TYPE:            D    DISBURSEMENTS (EXPENDITURES)
ACCT TYPE:           CA    CALSTARS CLEARING ACCOUNT
PROG/CAT/SOURCE:    99 --- CLEARING ACCOUNT
GENERAL LEDGER:      3010 ACCOUNTS PAYABLE
```

ORIGINAL DOCUMENT										ADJUSTMENTS		LIQUIDATIONS			PAYMENTS		BALANCE		
FUND	REF	A/S	FS	MD	INDX	SOURCE	PCA	PROJECT	SUBSIDRY	DOC	DATE	CR	DATE	LST	PROC	VENDOR	NO/SFX	VENDOR NAME	
AS	ENTRY	00	99	10		1-03-105			4,267.35-			0.00				4,267.35		4,267.35	0.00
0001	001	001	G	1	1000		10000			00-07-19	00-07-19			00-09-22					
*TOTAL G/L ACCOUNT 3010									4,267.35-			0.00				4,267.35		4,267.35	0.00

EXHIBIT III-D16 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D17

REPORT NAME:	Document Report By Project and General Ledger	REPORT NO:	CSTARD17
PURPOSE:	Lists all Documents by Project Number/Work Phase, Fund and General Ledger Account Number.		
DESCRIPTION:	The report displays all Document File records with Project Number and a balance other than zero by Project, Fund and General Ledger Account Number.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM:	CM, PM or PY		
P:	Not Applicable		
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	Not Applicable	0-No Fund
			1-Fund
			2-Fund Detail
Fund Selection:	Blank (all Funds) or any valid Fund		
GLA Selection:	Blank (all GLAs) or any valid GLA		
Additional Report Selection Options Popup Screen:			
Index Range:	Enter the Index or range of Indexes. Leave blank for all Indexes.		
PCA Range:	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
OBJ Range:	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
FFY Selection:	Enter the FFY or leave blank for all FFYs.		
PRJ/WP Selection:	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
Destination Options:	All available output media		

EXHIBIT III-D17 (Continued)

REPORT NAME: Document Report By Project and General Ledger	REPORT NO: CSTARD17																								
FINANCIAL ELEMENTS: <p>Original Document: The amount established by the original accounting transaction. Normal balance is a Debit for Receivables; a Credit for Payables.</p> <p>Adjustments: Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p>Liquidations: The amount of the liquidations posted to the document. After final payment for Encumbrances (GLA 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit for Receivables; a Debit for Payables.</p> <p>Payments: The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Credit for Receivables; a Debit for Payables.</p> <p>Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables; a Credit for Payables.</p>																									
SPECIAL NOTES: <p>When the report is requested with Fund Detail (Fund level is 2), the Fund Detail code is used to sort the documents. Agencies do not have to indicate an Appropriation Control Indicator of 1 (Fund Detail Level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>Documents with a zero balance are excluded from the report.</p>																									
REPORT SORT: <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 25%;">DATA FIELD</th> <th style="width: 25%;">SELECTION OPTIONS</th> <th style="width: 25%;">PAGE-BREAK</th> <th style="width: 25%;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td>Project</td> <td>None</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Fund</td> <td>Level of Detail: F</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>General Ledger</td> <td>GLAN</td> <td>Yes</td> <td>Yes</td> </tr> <tr> <td>Document Number</td> <td>None</td> <td>No</td> <td>No</td> </tr> <tr> <td>FFY</td> <td>None</td> <td>No</td> <td>No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Project	None	Yes	Yes	Fund	Level of Detail: F	Yes	Yes	General Ledger	GLAN	Yes	Yes	Document Number	None	No	No	FFY	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																						
Project	None	Yes	Yes																						
Fund	Level of Detail: F	Yes	Yes																						
General Ledger	GLAN	Yes	Yes																						
Document Number	None	No	No																						
FFY	None	No	No																						

EXHIBIT III-D17 (Continued)

[illegible]

***** PAGE 1

PROJECT:	CLNAIR	CLEAN AIR
WORK PHASE:	21	PHASE 3BX4
FUND:	0001	GENERAL FUND
GENERAL LEDGER:	3220	DUE TO LOCAL GOVERNMENT

DOCUMENT NO	FY	EY	C	OB	DTL	AO	ORIGINAL DOCUMENT	ADJUSTMENTS	LIQUIDATIONS	PAYMENTS	BALANCE
-------------	----	----	---	----	-----	----	-------------------	-------------	--------------	----------	---------

AS	FS	M	REF	INDX	SOURCE	PCA	DOC	DATE	CR DATE	IST	PROC	VENDOR NO/SFX	VENDOR NAME
----	----	---	-----	------	--------	-----	-----	------	---------	-----	------	---------------	-------------

DATE	TO	BY	REMARKS	DATE	TO	BY	REMARKS	DATE	TO	BY	REMARKS	DATE	TO	BY	REMARKS
---	---	---	-----	---	---	---	-----	---	---	---	-----	---	---	---	-----

SA6112-8-00	98	98	3-26-418	49,098.36-	0.00	0.00	0.00	49,098.36-
-------------	----	----	----------	------------	------	------	------	------------

900	D	1	001	5710	000000	43267	07/21/00	07/21/00	00000005094-00	KERN, COUNTY OF : EM
-----	---	---	-----	------	--------	-------	----------	----------	----------------	----------------------

[illegible]

TOTAL GENERAL LEDGER 3220	49,098.36-	0.00	0.00	0.00	49,098.36-
---------------------------	------------	------	------	------	------------

TOTAL FUND 00001	49,098.36-	0.00	0.00	0.00	49,098.36-
------------------	------------	------	------	------	------------

TOTAL WORK PHASE 21	49,098.36-	0.00	0.00	0.00	49,098.36-
---------------------	------------	------	------	------	------------

TOTAL PROJECT CLNAIR	49,098.36-	0.00	0.00	0.00	49,098.36-
----------------------	------------	------	------	------	------------

EXHIBIT III-D17 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK

EXHIBIT III-D18

REPORT NAME:	Encumbrances of Continuing Appropriations	REPORT NO:	CSTARD18
PURPOSE:	Provides a detail listing of Encumbrances of Continuing Appropriations within the Document File (DF). Used to evaluate encumbrances within the DF, which are posted against Continuing Appropriations. Request this report at year-end prior to running Year-End A Run to list encumbrances that will be rolled to the new FFY as a part of the Year-End (YEC) Process .		
DESCRIPTION:	The report lists all General Ledger Account 6150 (Encumbrances) entries within the DF, which are posted to an Appropriation Symbol with a Reversion Indicator set to a 2 or 9 . During the Year-End Close Process (YEC), these encumbrances are closed in the old FFY and re-established in the new FFY through the generation of system transactions. To ensure agencies are aware of the encumbrances posted against Continuing Appropriations, agencies are required to order the D18 per the CALSTARS Year-End Check List.		
REPORT REQUEST OPTIONS:			
Report Period:			
FM: CM, PM or PY			
P: Not Applicable			
Level of Detail:			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
0-No Organization	Not Applicable	Not Applicable	1-Fund
1-Section			2-Fund Detail
Fund Selection: Blank (all Funds) or any valid Fund			
GLA Selection: Not applicable			
Additional Report Selection Options Popup Screen: Not applicable			
Destination Options: All available output media			
FINANCIAL ELEMENTS:			
Original Document: The amount established by the original accounting transaction. Normal balance for encumbrances is a Debit.			
Adjustments: Net of subsequent adjustment entries to increase or decrease the original document. The balance may be either a Debit or a Credit.			
Liquidations: The amount of liquidations made against the document. Normal balance is a Credit.			
Payments: The amount of collections/payments made against the document. Normal balance is a Credit.			
Balance: Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance for encumbrances is a Debit.			

EXHIBIT III-D18

REPORT NAME: Encumbrances of Continuing Appropriations	REPORT NO: CSTARD18
---------------------------------------------------------------	----------------------------

SPECIAL NOTES:

The report only includes Document records for General Ledgers 6150 when the document has an Appropriation Symbol Number and the Reversion Indicator is set to **2** or **9**.

The report excludes all records for Fund 0998 (Office Revolving Fund).

Refer to the DB1 table for an explanation of system assigned SCO Type values and Document File record selection criteria.

When Reference is **980**, the report shows **000**.

When Account Type is **PR**, the Reference is followed by the FFY. When Account Type is **CA**, the report uses **99** for the Program and "Clearing Account" as the program title.

If all financial fields are zero, the Document File record is not shown on this report. If the Original Document, Liquidations and Balance are all zero, the Document File record is not shown on this report.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
ENY	None	Yes	No
Reference	None	Yes	No
SCO Type	None	Yes	No
Account Type	None	Yes	No
Program/Category/ Source	None	Yes	No
General Ledger	None	Yes	Yes
Document Number	None	No	No

EXHIBIT III-D18 (Continued)

CSTARD18 9900 (DEST: L1 CPT1) PM, ,0,0,0,1,
FISCAL MONTH: 07 JANUARY 0(ORG)***** 1(FUND) FUND(ALL)*****

***** RUN:02/14/01 TIME:06.01

DEPARTMENT OF AIR QUALITY
ENCUMBRANCES OF CONTINUING APPROPRIATIONS
AS OF 01/31/01

***** PAGE 1

```

FUND:                0001 GENERAL FUND
ENACTMENT YR:        98
REFERENCE:           001
SCO TYPE:            D    DISBURSEMENTS (EXPENDITURES)
ACCT TYPE:           CA    CALSTARS CLEARING ACCOUNT
PROG/CAT:            99 --- CLEARING ACCOUNT
GENERAL LEDGER:      6150 ENCUMBRANCES

```

DOC NO /SFX FFY PG EL CMP TSK C OB DTL AO										ORIGINAL DOCUMENT		ADJUSTMENTS		LIQUIDATIONS		PAYMENTS	BALANCE	
FUND	REF	A/S	REV	IND	FS	MD	INDX	PCA	PROJECT	SUBSIDRY	DOC DATE	CR DATE	LST	PROC	VENDOR NO/SFX	VENDOR NAME		
00PR98	17 00	98	11	10	181		3-25-382		20,000.00			0.00		9,683.86-		9,683.86-	10,316.14	
0001	001 900		2		D	1	00181	151000-00			98-11-05		99-09-10	0000002241-00		CSUS FOUNDATION		
00PR98	24 00	98	11	10	191		3-29-433		95,567.00			0.00		79,549.00-		79,549.00-	16,018.00	
0001	001 900		2		D	1	00191	151000-00			99-06-30	99-07-16	99-11-04	0000010417-00		BERKELEY PLANNING ASSOC		
00PR98	27 00	98	11	30	321		3-25-382		33,279.00			10,000.00		18,207.66-		18,207.66-	25,071.34	
0001	001 900		2		D	1	00321				99-07-16		00-08-24	0000010436-00		UNIVERSITY OF CALIFORNIA/DAVIS		
00CSCI	02 00	98	11	10	181		3-29-433		7,000.00			0.00		5,132.16-		5,132.16-	1,867.84	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-09-03	0000009760-00		MONO COUNTY OFFICE OF EDUCATIO		
00CSCI	03 00	98	11	10	181		3-29-433		7,000.00			0.00		5,064.25-		5,064.25-	1,935.75	
0001	001 900		2		D	1	00181	151000-00			99-02-01	99-03-19	99-09-03	0000009418-00		SAN JOAQUIN COUNTY OF EDUCATIO		
00CSCI	09 00	98	11	10	181		3-29-433		7,000.00			0.00		0.00		0.00	7,000.00	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-07-16	0000010512-00		VOL CNTR RIVERSIDE COUNTY		
00CSCI	10 00	98	11	10	181		3-29-433		2,000.00			0.00		1,903.55-		1,903.55-	96.45	
0001	001 900		2		D	1	00181	151000-00			99-01-31	99-02-09	99-06-11	0000005012-00		CALIFORNIA RURAL LEGAL ASSITAN		
00CSCI	13 00	98	11	10	181		3-29-433		3,271.34			0.00		0.00		0.00	3,271.34	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-07-16	00000010398-00		YOLO CNTY DEPT/ALCOHOL/DRUG		
00CSCI	14 00	98	11	10	181		3-29-433		7,000.00			0.00		0.00		0.00	7,000.00	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-07-16	00000010531-00		VOL BUREAU OF FRESNO CNTY		
00CSCI	15 00	98	11	10	181		3-29-433		7,000.00			0.00		0.00		0.00	7,000.00	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-07-16	00000010550-00		VOLUNTEER CNTR SONOMA COUNTY		
000PCC	05 00	98	11	10	181		3-29-433		5,500.00			0.00		4,950.00-		4,950.00-	550.00	
0001	001 900		2		D	1	00181	151000-00			99-02-01	99-03-19	99-03-19	0000007917-00		VOLUNTEER CENTER		
95PDSCA	0 05	98	11	10	181		3-29-433		23,500.00			0.00		0.00		0.00	23,500.00	
0001	001 900		2		D	1	00181	151000-00			99-06-30	99-07-16	99-07-16	0000007917-00		VOLUNTEER CENTER		
*TOTAL G/L ACCOUNT 6150									218,117.34		10,000.00		124,490.48-		124,490.48-		103,626.86	

EXHIBIT III-D18 (Continued)

THIS PAGE INTENTIONALLY LEFT BLANK